

Document Pack



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FRIDAY, 2 DECEMBER 2016

**TO: ALL MEMBERS OF THE SOCIAL CARE & HEALTH
SCRUTINY COMMITTEE**

I HEREBY SUMMON YOU TO ATTEND A MEETING OF THE **SOCIAL CARE & HEALTH SCRUTINY COMMITTEE** WHICH WILL BE HELD IN THE **CHAMBER, COUNTY HALL, CARMARTHEN** AT **10.00 AM ON MONDAY, 12TH DECEMBER, 2016** FOR THE TRANSACTION OF THE BUSINESS OUTLINED ON THE ATTACHED AGENDA.

Mark James CBE

CHIEF EXECUTIVE



PLEASE RECYCLE

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YOUR COUNCIL **doitonline**
www.carmarthenshire.gov.wales

SOCIAL CARE & HEALTH SCRUTINY COMMITTEE

14 MEMBERS

PLAID CYMRU GROUP – 5 MEMBERS

- | | | |
|----|------------|-------------------|
| 1. | Councillor | T.T. Defis |
| 2. | Councillor | W.T. Evans |
| 3. | Councillor | D.J.R. Llewellyn |
| 4. | Councillor | G. Thomas (Chair) |
| 5. | Councillor | J.S. Williams |

INDEPENDENT GROUP – 3 MEMBERS

- | | | |
|----|------------|-------------------------|
| 1. | Councillor | S.M. Allen (Vice-Chair) |
| 2. | Councillor | I.W. Davies |
| 3. | Councillor | E.G. Thomas |

LABOUR GROUP – 4 MEMBERS

- | | | |
|----|------------|----------------|
| 1. | Councillor | K. Madge |
| 2. | Councillor | E. Morgan |
| 3. | Councillor | B.A.L. Roberts |
| 4. | Councillor | J. Williams |

PEOPLE FIRST (CARMARTHENSHIRE) – 1 MEMBER

- | | | |
|----|------------|-------------|
| 1. | Councillor | S.M. Caiach |
|----|------------|-------------|

UNAFFILIATED – 1 MEMBER

- | | | |
|----|------------|---------|
| 1. | Councillor | J. Owen |
|----|------------|---------|

AGENDA

1. APOLOGIES FOR ABSENCE
2. DECLARATIONS OF PERSONAL INTERESTS
3. DECLARATION OF PROHIBITED PARTY WHIPS
4. PUBLIC QUESTIONS (NONE RECEIVED)
5. FORTHCOMING ITEMS 5 - 6
6. REVENUE BUDGET CONSULTATION 7 - 32
7. FIVE YEAR CAPITAL PROGRAMME - 2017/18 - 2021/22 33 - 48
8. COMMUNITIES BUSINESS PLAN 49 - 62
9. ANNUAL SAFEGUARDING ADULTS REPORT 2015/16 63 - 94

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**SOCIAL CARE & HEALTH SCRUTINY
COMMITTEE
12TH DECEMBER 2016**

**Forthcoming items for next meeting –
Wednesday 25th January 2017**

Discussion Topic	Background
Carmarthenshire Dementia Framework for Action	As part of the Joint Scrutiny Forum alongside the Community Health Council, Committee members produced a set of recommendations that are now helping to shape the way people living with dementia and their carers are supported. This item will provide the Committee with details of the next steps in developing dementia support services further.
Council's Well-Being Objectives 2017/18	The Well-being of Future Generations Act requires most public bodies in Wales to carry out sustainable development with the objective of improving the social, economic, environmental and cultural well-being of their area. It places a well-being duty on those public bodies to set and publish objectives designed to maximise their contribution to the seven national well-being goals. They are also required to take all reasonable steps to meet those objectives. This item will provide the Committee with an opportunity to consider and comment on the Council's proposed objectives for 2017/18.
Deprivation of Liberty (DoLS)	The Deprivation of Liberty Safeguards (DoLS) (part of the Mental Capacity Act 2005) are aimed at ensuring that individuals in care homes, hospitals or supported living facilities are cared for in ways that do not inappropriately restrict their freedoms. This item will provide the Committee with an update on the latest developments.
Mental Health Transformation	This update will enable the Committee to monitor the progress being made in relation to supporting people with mental health needs in the County.
Ageing Well Plan Annual Report	This report provides the Committee of the progress that has been made with the Ageing Well Plan over the last year.
ARCH Project Update (including Extra Care Scheme)	ARCH is a collaboration between Hywel Dda, ABM University Health Board and Swansea University. It spans

in Llanelli)	six local authority areas of Ceredigion, Pembrokeshire, Carmarthenshire, Bridgend, Neath Port Talbot and Swansea. Members of the Committee have requested further information on the proposals for Llanelli.
Information, Advice & Assistance (IAA)	The Social Services and Well-Being (Wales) Act came into force on 6th April 2016 with the aim of improving the well-being of people who need care and support, and carers who need support. The provision of Information, Advice and Assistance (IAA) to residents is a key component of the Act. This item will provide the Committee with details of the Authority's existing provision of and future plans relating to, Information, Advice and Assistance.
Welsh Language in Social Care "More than Just Words"	A more detailed update on the Communities Department's progress with regards to the Welsh Government's Strategic Document 'More than Just Words', which sets out the importance of the Welsh language when caring for older people.

SOCIAL CARE & HEALTH SCRUTINY COMMITTEE 12th DECEMBER 2016

REVENUE BUDGET STRATEGY CONSULTATION 2017/18 to 2019/20

Appendix A – Corporate Budget Strategy 2017/18 to 2019/20

Appendix A(i) – Efficiency summary for the Social Care & Health Service

Appendix A(ii) – Growth Pressures summary for the Social Care & Health Service

Appendix B – Budget extracts for the Social Care & Health Service

Appendix C – Charging Digest for the Social Care & Health Service

To consider and comment on the following issues:

- Members consider and comment on the budget strategy proposals
- Members consider and comment on the proposals for delivery of efficiency savings for their service area identified in Appendix A(i)
- Members are also invited to bring forward any other proposals for efficiency savings
- Members examine the Departmental Budgets
- Members endorse the Charging Digests

Reasons:

- The Executive Board at its meeting on 21st November 2016 will have considered the attached Revenue Budget Strategy 2017/18 to 2019/20 (Appendix A) and endorsed the report for consultation purposes. A verbal update will be given at the meeting in relation to any changes or specific proposals made by the Executive Board if appropriate.

To be referred to the Executive Board for decision: NO

Executive Board Member Portfolio Holders:

- Cllr. David Jenkins (Resources)
- Cllr. Jane Tremlett (Social Care & Health)

<p>Directorate: Corporate Services</p> <p>Name of Head of Service: Owen Bowen</p> <p>Report Author: Owen Bowen</p>	<p>Designation: Head of Financial Services</p>	<p>Tel No. / E-Mail Address: 01267 224886 obowen@carmarthenshire.gov.uk</p>
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EXECUTIVE SUMMARY

SOCIAL CARE & HEALTH SCRUTINY COMMITTEE 12th DECEMBER 2016

REVENUE BUDGET STRATEGY CONSULTATION 2017/18 to 2019/20

The objective of the report is to allow members to consider the corporate budget strategy for the financial years 2017/18 to 2019/20 to consider the service delivery impact and options for the forthcoming years.

The report is set out as follows:-

Appendix A

- The attached report is a copy of the Revenue Budget Strategy 2017/18 to 2019/20 that has been presented to the Executive Board. As part of the budget consultation process the report is presented to this Scrutiny Committee for your consideration.
- The report provides members with an initial view of the revenue budget issues for the forthcoming year and also reflects departmental submissions.

Appendix B

Budget extracts for the Social Care & Health service, which incorporates the initial Efficiencies/Service rationalisation proposals already reflected in the budget for consultation.

Appendix C

Charging Digest for the Social Care & Health service. The charges for 2017-18 have yet to be adopted and any change to the proposed charges will impact on the budget/efficiency proposals.

DETAILED REPORT ATTACHED?	YES
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IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report.

Signed: Owen Bowen Head of Financial Services

Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
YES	NONE	YES	NONE	NONE	NONE	NONE

1. Policy and Crime & Disorder

The budget is being prepared having regard to the Improvement Plan.

2. Finance

The report provides an initial view of the Budget Strategy for 2017/18, together with indicative figures for the 2018/19 and 2019/20 financial years. The impact on departmental spending will be dependent upon the provisional and final settlements from Welsh Government, and the resultant final Budget adopted by County Council.

Current projections indicate an increase in the validated budget of £10.6m, before offsetting the potential efficiency savings.

CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below:

Signed: Owen Bowen Head of Financial Services

1. Local Member(s) – N/A

2. Community / Town Council – N/A

3. Relevant Partners – Consultation with relevant partners will be undertaken and results will be reported during the budget process.

4. Staff Side Representatives and other Organisations – Consultation with other organisations will be undertaken and results will be reported during the budget process.

**Section 100D Local Government Act, 1972 – Access to Information
List of Background Papers used in the preparation of this report:**

THESE ARE DETAILED BELOW:

Title of Document	File Ref No. / Locations that the papers are available for public inspection
2017/18 3 year Revenue Budget	Corporate Services Department, County Hall, Carmarthen

REPORT OF DIRECTOR OF CORPORATE SERVICES

Social Care & Health Scrutiny Committee

12th December 2016

REVENUE BUDGET STRATEGY 2017/18 to 2019/20

(Copy of Executive Board report 21/11/16)

HEAD OF SERVICE & DESIGNATION.	DIRECTORATE	TELEPHONE NO.
O Bowen, Head of Financial Services	Corporate Services	01267 224886
AUTHOR & DESIGNATION	DIRECTORATE	TELEPHONE NO
O Bowen, Head of Financial Services	Corporate Services	01267 224886

1. INTRODUCTION

- 1.1. Executive Board in July 2016 received a report on the Revenue Budget Outlook for 2017/2018 to 2019/2020 which appraised members of the financial outlook and the proposals for taking forward the budget preparation for the three year period.
- 1.2. This report provides Members with the current view of the Revenue Budget for 2017/2018 together with indicative figures for the 2017/2018 and 2019/2020 financial years. The report is based on officers projections of spending need and takes account of the provisional settlement issued by Welsh Government on 19th October 2016. It also reflects the current departmental submissions for savings proposals.
- 1.3. Whilst significant work has already been undertaken in preparing the budget, this is only an initial position statement which will be updated over the coming months the budget will be further developed as figures are reviewed, and the settlement becomes known.
- 1.4. The report is broken down into 5 parts:
 - Funding Projections
 - Budget Requirement
 - Impact on the Authority's budget requirement
 - Consultation
 - Conclusion
 - Recommendations

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2. PROVISIONAL SETTLEMENT

- 2.1. The provisional settlement was announced on Wednesday 19th October 2016. Again this year, indicative figures were provided for the one financial year only, 2017/18, with no forward indicative figures for future years, although it has been indicated that future years funding proposals may become available post the Chancellor of the Exchequers Autumn Statement
- 2.2. The provisional settlement was significantly better than this Council and Local Government in Wales in general anticipated. However it must be recognised that a cash neutral settlement still has a significant negative impact on the Council's resources due to inflationary factors, demographic changes and demand.
- 2.3. The main points of the Provisional Settlement 2017/2018 on an All Wales basis are as follows:
 - 2.3.1. Local government revenue funding for 2017-18 set at £4.107 billion, an increase of +0.1% (£3.8 million) compared to 2016-17, but this is after new responsibilities are taken into account of £4.8 million.
 - 2.3.2. Additional £25 million as a result of the agreement between the Welsh Government and Plaid Cymru.
 - 2.3.3. Additional £25 million in recognition of the importance of strong local social services
 - 2.3.4. £4.5 million to fund the commitment to increase the capital limit used by local authorities who charge for residential care from £24,000 to £30,000.
 - 2.3.5. £0.3 million to fund the commitment to introduce a full disregard of the War Disablement Pension in financial assessments for charging for social care.
 - 2.3.6. £2.3 million of additional funding outside the settlement to ensure that no authority sees a reduction of greater than 0.5% compared to its 2016-17 settlement allocation and, where relevant, top-up funding.
 - 2.3.7. £1 million for school transport
 - 2.3.8. £3 million to support town centre car parking
 - 2.3.9. The settlement includes 'transfers in' of £3.082m in respect of the Delivering transformation Grant (£2.830m), Deprivation of Liberty Standards (£0.184m), Blue Badge additional funding (£0.011m) and Food Hygiene Rating scheme, and 'transfers out' of £1.000m in respect of teacher registration fees subsidies.
- 2.4. The figures for Carmarthenshire are:

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2.4.1. Provisional Settlement 0% movement on the 2016-17 settlement. i.e. a small reduction of £17k.

2.4.2. New responsibilities:

2.4.2.1. £300k due to increase in the capital limit used by local authorities who charge for residential care from £24,000 to £30,000

2.4.2.2. £18k to fund the commitment to introduce a full disregard of the War Disablement Pension in financial assessments for charging for social care

2.4.2.3. £180k to support town centre car parking

2.4.2.4. £60k for school transport

2.4.3. Transfers In

2.4.3.1. £169k of funding previously provided via the social services *delivering transformation grant*

2.4.3.2. £12k to support *deprivation of liberty safeguards*

2.4.3.3. £3k to deliver the *food hygiene rating scheme*

2.4.3.4. £1k additional funding for the *blue badge scheme*.

2.4.4. Transfers Out

2.4.4.1. £54k in respect of teacher registration fee subsidies

2.5. Service specific Grants within the current year's budget stands at £107m, and details of future allocations are not available for all at this stage. With the exception of the Environmental Grant which is being reduced by 6.7%, it is assumed that all others will be maintained at their existing level during the three year period of the model

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3. BUDGET REQUIREMENT 2017-2018

3.1. Current Years performance (2016/2017)

3.1.1. As the Authority's core spending requirements remains constant year on year, a review of current year's performance is important in identifying whether there are any underlying problems within the base budget

3.1.2. The current projection for the Revenue Outturn for 2016/17 (based on the August 2016 monitoring) is as follows

Service	Approved Budget £'000	Total Expenditure Forecast £'000	Variance Forecast For Year £'000
Chief Executive	13,666	14,179	513
Education and Children's Services	162,326	163,876	1,550
Corporate Services	22,301	22,039	-262
Communities	90,991	91,779	788
Environment	47,235	47,621	386
Departmental Expenditure	336,519	339,494	2,975
Cont from Dept/Earmarked Reserves		-254	-254
Capital Charges	-9,519	-10,269	-750
Pensions Reserve Adjustment	-5,085	-5,085	0
Levies and Contributions	9,310	9,310	0
Transfer to/ from Reserves	-265	-265	0
Net Expenditure	330,960	332,931	1,971

The departmental overspends are primarily as a result of delays in the delivery of some of the savings proposals put forward for 2016-17, and a review of the savings proposals that were agreed in February 2016 has identified that some £1.8 m of the original proposals for 2016-17 are at risk of not being fully delivered in the current financial year.

The Education and Children's Services department continues to face pressure due in the main to school based EVR and redundancy costs, and short term transport costs for pupils from closed schools and property decommissioning costs.

The Authority is currently forecasting a variance of £1.971m at the year-end that will have to be met from General Balances.

In considering next year's budget, the current strategy assumes that departments will actually deliver/make good those savings proposals adopted for 2016/17 by the commencement of the next financial year.

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3.2. Validation

3.2.1. Validation reflects the changes in expenditure requirements to deliver the **current level** of services in future years. Primarily this is inflation, but also includes some service specific changes. The key validation factors are as follows:

	<u>2017/18</u> <u>Original</u>	<u>2017/18</u> <u>Proposed</u>	<u>2018/19</u>	<u>2019/20</u>
General inflation	1.4%	2.3%	2.2%	2.0%
Electricity	3.0%	5.0%	3.0%	3.0%
Gas	3.0%	5.0%	3.0%	3.0%
Fuel	3.0%	5.0%	3.0%	3.0%
Pay Inflation - non teaching	1.0%	1.0%	1.0%	1.0%
Pay Inflation - Teaching	1.0%	1.0%	1.0%	1.0%
Levies	1.0%	1.0%	1.0%	1.0%
Pension Contributions	£297k	£298k	£302k	£305k
Auto Enrolment	£1,600k	£1,600k		
Capital Charges	£250k	-	£250k	£250k
Main service Specifics:				
County Elections	£230k	£230k	-£300k	
Apprentice levy	£850k	£850k	-	

3.2.2. Under the Local Authorities (Capital Finance and Accounting)(Wales)(Amendment) Regulations 2010 [the Amendment Regulations] the authority is required to make an annual provision from revenue to contribute towards the reduction in its overall borrowing requirement at a rate that it considers to be prudent and having regard to the guidance issued. The Budget Strategy has been prepared based upon the Regulatory Method for supported borrowing in which the calculation is based on 4% of the opening Capital Financing Requirement and the Asset Life Method for the Unsupported Borrowing e.g. Modernising Education Provision and Fleet replacement.

3.2.3. The most significant specific validations over the three year period relate to Auto Enrolment and the introduction of the Apprentice levy from April 2017. Currently there is no information as to how the Apprentice Levy will be utilised within Wales.

3.2.4. There is a clear risk to the Budget Strategy that departments may find it difficult to manage their expenditure within these parameters, especially where the inflationary increases have been applied by service providers. This risk is something that will require close monitoring during the year.

3.2.5. In line with the previous Chancellors announcement relating to Public Sector pay, the Budget as constructed makes provision for the headline pay award of 1% in each of the financial years, together with higher percentages to those on lower pay

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points due in part to the introduction of the national Living Wage from 1 April 2016.

3.2.6. In total, validation adds £7.8m to the current year's budget.

3.3. Cost reduction Programme

3.3.1. In anticipation of the unprecedented reductions in this settlement round, significant work in identifying further service efficiencies/rationalisation proposals has been undertaken. Accordingly departments have developed a range of proposals, and these efficiencies are included in **Appendix A** of this report.

3.3.1.1. The efficiency proposals are categorised as follows:

Managerial – Efficiencies that result in no perceivable change to the overall level of service delivery but may in some instances affect quality of service provided

Policy – Efficiency or service rationalisation proposals that will directly affect service delivery.

	2017/18 £m	2018/19 £m	2019/20 £m
Managerial	4,435	4,462	2,940
Existing Policy	3,988	3,591	3,527
New Policy	347	181	1,307
Total	8,770	8,234	7,774

(Detail at **Appendix A**)

3.3.2. The summary sheet at Appendix A sets out the savings targets set for individual departments and the value of savings currently identified.

3.4. New Expenditure Pressures

3.4.1. New expenditure pressures are the combination of additional cost to meet existing service needs e.g. increased client base/greater service take up and the costs of meeting change in service provision e.g. policy changes.

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3.4.2. In the setting of the current financial year's budget, a total sum of £2.4m was allocated to services, of which £1.8m was allocated to Social Care.

3.4.3. The original budget outlook for 2017-18 and 2018-19 contained a sum of £3m per annum to meet growth pressures, and the same provision for growth is currently reflected in the 2019-20 indicative budget.

3.4.4. Initial growth bids of £3.3m have been submitted by departments for 2017-18, and the current strategy reflects an allocation of £2.542. Detail at Appendix B

3.5. Schools Delegated Budgets

3.5.1. The last year of WG funding protection for schools was 2015/16. The current budget proposals assume no protection going forward.

3.5.2. The original budget strategy proposals have been reviewed, and the effect on schools delegated budgets are:

	2017/18 £m	2018/19 £m	2019/20 £m
Previous Years Budget	<u>109.247</u>	<u>107.313</u>	<u>105.527</u>
Validation	1.845	1.699	1.326
Savings requirement	-3.779	-3.485	-3.987
Net Adjustment	-1.934	-1.786	-2.661
Proposed Delegated Budget	107.313	105.527	102.866

3.6. Internal Funding

3.6.1. Generally speaking whilst the use of reserves to support annual budgets should not be summarily discounted, it must be treated with caution. Funding on-going expenditure from such funds merely defers and compounds difficult financial problems to the following year. One-off items of expenditure within any budget proposal lend themselves better for such funding support.

3.6.2. In deliberating this point however, members must bear in mind any **inherent risks** that may be built into the budget strategy. These include:

- Challenging Efficiency targets
- Future inflation/interest rates
- Current economic climate continuing
- Impact of BREXIT.
- Additional pressure on demand lead Services

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- No indicative figures for future year's settlements have been provided by Welsh Government therefore there is the potential for the overestimation of the future settlements.

3.6.3. The following table summarises the main categories of reserves held by the Authority.

	1 st Apr 2016 £'000	31 st Mch 2017 £'000	31 st Mch 2018 £'000	31 st Mch 2019 £'000	31 st Mch 2020 £'000
Schools Reserves	3,677	181	0	0	0
General Reserves	8,779	6,743	6,743	6,743	6,743
Earmarked Reserves	66,131	49,124	24,264	21,309	20,736

3.6.4. School Reserves

3.6.4.1. Schools have delegated responsibility for the management of their own finances. The level of reserves held by an individual school at any point in time will depend on a number of factors including the level of contingency fund that the school governing body considers appropriate, and the particular plans each school has for expenditure. Officers have yet to be informed of any transfers to/from these reserves by individual schools for future years.

3.6.4.2. Legislation allows schools to carry forward reserves from one financial period to another. The School Funding (Wales) Regulations 2010 requires schools to limit their accumulated reserves at year end to £50,000 for Primary Schools and £100,000 for Secondary and Special Schools or 5% of their budget dependant on what is greater. School Improvement officers are currently working with schools to ensure they comply with the guidance. As at 31st March 2016, 27 schools were in deficit and 24 schools held surplus balances in excess of the £50k/£100k threshold.

3.6.5. General Reserves

3.6.5.1. In the changeable and challenging environment currently facing Local Government the Authority is committed to maintaining a reasonable level of General reserves or Balances. Whilst there is no prescribed minimum level for Balances, Council has previously deemed 3% of net expenditure as being a prudent level, which has

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been accepted by our Auditors as being reasonable

3.6.5.2. The overall level of balances is taken into consideration each year when the annual budget is set and has on occasions been utilised to augment expenditure/reduce council tax. The 2016-2017 budget was set on the basis of no transfers from the General Reserves. Based upon the August Budget monitoring (outlined in paragraph 3.1.2 above) there could be draw of £1,971k against General Reserves at the end of the current financial year.

3.6.5.3. Given the likely draw on this reserve in the current year therefore it is deemed imprudent at this stage to assume any further support for future years budgets from the current General Reserves.

3.6.5.4. Taking account of these changes the average level of the general reserves is forecasted to be around 2.0% of net expenditure during 2017/18.

3.6.6. Earmarked Reserves

3.6.6.1. The Authority holds earmarked reserves which have been set up to finance the delivery of specific projects, or in protecting the authority against future liabilities or issues. The reserves can be summarised as follows:

Reserve	31 March 2016	31 March 2017	31 March 2018	31 March 2019	31 March 2019
	£'000	£'000	£'000	£'000	£'000
Insurance	7,886	7,386	7,386	7,586	7,786
Capital Funds	33,822	30,277	9,450	6,803	5,590
Development Fund	1,195	445	603	756	756
IAG/OAG	1,337	1,337	9	9	9
Corporate Retirement Fund	3,142	1,352	386	391	1,101
Joint Ventures	1,515	1,695	1,875	2,055	2,055
Other	17,234	6,632	4,555	3,709	3,439
TOTAL	66,131	49,124	24,264	21,309	20,736

3.6.6.2. As can be seen from the table above the level of earmarked reserves fluctuates greatly year on year, and whilst the level in each fund is not an exact science it is based on an informed estimate and past experience of the likely call on the authority in future years in line with the intended purpose of each reserve. Great care must therefore be taken when considering utilising

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such funds for purposes other than those which they were created as this could lead to the authority being faced with substantial unfunded liabilities in the future

3.6.6.3. The budget proposals assume a sum of £200k per annum being transferred from the Insurance Reserve to support the revenue budget in 2017/18.

3.6.6.4. A further analysis of the reserves held will be undertaken over the coming months with any further proposed utilisation being considered at the budget finalisation stage.

3.6.6.5. Taking account of the proposals within this report, including the use of reserves, the Director of Corporate Services confirms that overall the estimated level of financial reserves (as indicated above) is adequate for the financial year 2017/18, with the General Reserves being at the minimum that could be supported.

4. IMPACT ON THE AUTHORITY'S BUDGET STRATEGY

4.1. The table below provides an updated position on the current financial outlook taking account of the provisional settlement and also other recent validation changes, including the second year of the pay offer agreed by the national employers.

4.2. Current Financial Outlook (updated for the Provisional Settlement):

	Current MTFP		Financial Model		
	2017/18 £'000	2018/19 £'000	2017/18 £'000	2018/19 £'000	2019/20 £'000
Previous Year's Budget	331,226	330,541	331,226	333,784	334,188
General Inflation	1,416	1,637	2,197	2,134	1,847
Pay Inflation	2,399	3,041	2,427	2,973	2,954
Other	3,083	543	3,042	831	968
Growth	3,230	3,000	2,772	2,700	3,000
Original & approved PBB Proposals	-11,013	-10,155	-8,770	-8,234	-7,774
Net Expenditure	330,341	328,607	333,584	334,188	335,183

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Revenue Settlement	-246,651	-241,718	-251,794	-249,276	-246,783
Council Tax Receipts	-83,690	-86,889	-81,791	-84,913	-84,000
Council Tax Increase:	4.88%	3.14%	2.50%	3.14%	3.42%

4.3. The total cost reductions now required for 2017/18 is £8.8m and for the 3 year period are estimated at £24.6m.

5. CONSULTATION

Budget consultation has been planned for the coming months and a summary of the individual approaches are as follows:

- 5.1. Members seminars. (November and December 2015)
- 5.2. The consultation process will commence online from the 22nd November 2016.
- 5.3. The public and commercial ratepayers consultation in December 2016.
- 5.4. Consultation with Scrutiny Committees during November and December
- 5.5. 'Insight' youth conference in November 2016.
- 5.6. Consultation with the Schools Budget Forum in November 2016
- 5.7. Trade Union Consultation December 2016/January 2017

6. WELLBEING OF FUTURE GENERATIONS (WALES) ACT 2015

6.1. In considering the budget proposals, members need to take into consideration the requirements of the Wellbeing of Future Generations (Wales) Act 2015. The Act requires that we must carry out sustainable development, improving the economic, social environmental and cultural well-being of Wales.

'... the public body must act in a manner which seeks to ensure that the needs of the present are met without compromising the ability of future generations to meet their own needs'

- 6.2.** In doing so, we must demonstrate the following 5 ways of working:
- i. Looking at the long-term so that we do not compromise the ability of future generations to meet their own needs
 - ii. Understanding the root causes of the issues to prevent them recurring

APPENDIX A

- iii. Taking an integrated approach so that we look at all well-being goals and objectives of other services and partners
- iv. Collaboration – Working with others in a collaborative way to find shared sustainable solutions
- v. Involving a diversity of population in decisions that affect them

6.3. The Act identifies 7 well-being goals, they provide a shared vision for public bodies to work towards. We must work towards achieving all of them.

- i. A prosperous Wales
- ii. A resilient Wales
- iii. A healthier Wales
- iv. A more equal Wales
- v. A Wales of cohesive communities
- vi. A Wales of vibrant culture and thriving Welsh Language
- vii. A globally responsible Wales

6.4. As a Council we must set and publish well-being objectives by the 31st March 2017. Our well-being objectives must be designed to maximise our contribution to achieving the 7 well-being goals of the Act.

6.5. We must also publish a Well-being Statement that sets out how we will achieve our objectives and explain how we ensure resources, including financial are allocated to meet our objectives.

7. CONCLUSION

7.1. Currently the budget proposals assume the full delivery of all of the £24.6m savings proposals currently submitted.

7.2. The current budget proposals assume a Council Tax increase of 2.5% in 2017-18, 3.14% in 2018-19 and 3.42% in 2019-20. A 1% movement in the Council Tax rise equates to +/-£790k

8. RECOMMENDATION

8.1. Note the contents of the report and approve as a basis for consultation on the three year budget strategy, and specifically seek comments from consultees on the efficiency proposals in Appendice A.

SOCIAL CARE & HEALTH SCRUTINY COMMITTEE
12th December 2016
Revenue Budget Strategy Consultation 2017/18 to 2019/20
(Extract of Revenue Strategy Report to Executive Board 21/11/16)

	TARGETS			
	2017/18	2018/19	2019/20	Total
	£'000	£'000	£'000	£'000
Chief Executive	600	605	424	1,629
Education	418	225	898	1,541
Schools Delegated	6,000	6,000	3,487	15,487
Corporate Services	174	262	177	613
Community Services	2,792	2,072	2,548	7,412
Environment	1,469	990	1,246	3,705
	11,453	10,154	8,780	30,387

Current Proposals					EXISTING POLICY PROPOSALS				NEW POLICY PROPOSALS				TOTAL PROPOSALS				Variance on Target				
	MANAGERIAL				2017/18	2018/19	2019/20	Total	2017/18	2018/19	2019/20	Total	2017/18	2018/19	2019/20	Total	£'000				
	2017/18	2018/19	2019/20	Total														£'000	£'000	£'000	£'000
Chief Executive	547	661	287	1,494	0	0	0	0	0	0	0	0	0	0	0	547	661	287	1,494	-135	
Education	138	225	75	438	180	70	0	250	100	0	398	498	418	295	473	1,186	418	295	473	1,186	-355
Schools Delegated				0	3,779	3,485	3,487	10,751	0	0	500	500	3,779	3,485	3,987	11,251	3,779	3,485	3,987	11,251	-4,236
Corporate Services	207	148	258	613	0	0	0	0	0	0	0	0	207	148	258	613	207	148	258	613	0
Community Services	2,294	2,421	1,663	6,378	29	36	40	105	247	181	60	488	2,570	2,638	1,763	6,971	2,570	2,638	1,763	6,971	-441
Environment	1,249	1,007	657	2,913	0	0	0	0	0	0	349	349	1,249	1,007	1,006	3,262	1,249	1,007	1,006	3,262	-443
	4,435	4,462	2,940	11,836	3,988	3,591	3,527	11,106	347	181	1,307	1,835	8,770	8,234	7,774	24,777	8,770	8,234	7,774	24,777	-5,610

DEPARTMENT	16-17 Budget	FACT FILE	2017-18 Proposed	2018-19 Proposed	2019-20 Proposed	Total	EFFICIENCY DESCRIPTION
	£'000		£'000	£'000	£'000	£'000	
Community Services							
Older People Physical Disabilities							
Residential Homes	3,079	People may move into a care home because they have gradually found it more difficult to manage at home, or because an illness or accident has affected their ability to live independently. Residential care homes offer services such as laundry and meals and help with personal care. Some homes offer short-term stays but normally they provide more long-term or permanent care. As of the 30th September 2016 there were 858 clients funded in a Residential Care Home.	200	0	0	200	Improved commissioning utilising Intermediate Care Funding
LA Residential Homes	3,079	People may move into a care home because they have gradually found it more difficult to manage at home, or because an illness or accident has affected their ability to live independently. Residential care homes offer services such as laundry and meals and help with personal care. Some homes offer short-term stays but normally they provide more long-term or permanent care. As of the 30th September 2016 there were 200 clients funded in a Local Authority Residential Care Home.	25	25	0	50	Additional income through extra in-house residential beds
Domiciliary Care	6,056	Domiciliary Service, also known as home care, provides practical or personal care for someone in their own home. This could be because health or mobility is declining, or a person may have been in hospital or they have a long term health condition. As at the end of August 2016 there were 1041 clients receiving a Domiciliary Service	20	10	0	30	Dom Care operational efficiency
LA Residential Homes	3,079	People may move into a care home because they have gradually found it more difficult to manage at home, or because an illness or accident has affected their ability to live independently. Residential care homes offer services such as laundry and meals and help with personal care. Some homes offer short-term stays but normally they provide more long-term or permanent care. As of the 30th September 2016 there were 200 clients funded in a Local Authority Residential Care Home.	0	25	0	25	Based on 5% savings on energy and other running costs following capital investment
Domiciliary Care	7,317	Domiciliary Service, also known as home care, provides practical or personal care for someone in their own home. This could be because health or mobility is declining, or a person may have been in hospital or they have a long term health condition. As at the end of August 2016 there were 1041 clients receiving a Domiciliary Service	80	0	0	80	Use of electronic call monitoring facility to ensure that delivered care hours are 1% less than contracted hrs as per new framework
Domiciliary Care	7,317	Domiciliary Service, also known as home care, provides practical or personal care for someone in their own home. This could be because health or mobility is declining, or a person may have been in hospital or they have a long term health condition. As at the end of August 2016 there were 324 clients receiving a Domiciliary Service with double handed care	100	446	569	1,115	Reduce existing double handed care packages as appropriate while ensuring that care provided is safe and proportionate to their needs
Domiciliary Care	7,317	Domiciliary Service, also known as home care, provides practical or personal care for someone in their own home. This could be because health or mobility is declining, or a person may have been in hospital or they have a long term health condition. As at the end of July 2016 there were 125 clients receiving 4+ calls per day	35	55	25	115	Reduce existing high frequency care packages while ensuring that care provided is safe and proportionate to their needs
LA Domiciliary Night Services	6,056	If you are assessed as needing care at times during the night, to support you and avoid unnecessary admission to hospital or residential care there are Domiciliary Support Workers who work through the night. The "Through the Night Domiciliary Service" works in conjunction with other night services providing non-medical personal care to people living in the community through the Community Alarm Service. For the period April 2016 to September 2016 the department carried out 3906 planned night calls and 355 unplanned night calls.	150	50	0	200	Review and remodel Community and Extra Care service to align with falling demand.
Divisional Supplies	835	Services provided to Older People and Physical Disabilities client groups have non-staff controllable budgets of approx £34m. The Deapartment has identified that some of these budgets will not have an inflationary uplift and budgets will be held at the same level as the year before.	93	122	0	215	no inflationary uplift
Management & Support	835	Management and operational workforce	0	45	50	95	review of staffing

Efficiency Proposals

DEPARTMENT	16-17 Budget	FACT FILE	2017-18 Proposed	2018-19 Proposed	2019-20 Proposed	Total	EFFICIENCY DESCRIPTION
	£'000		£'000	£'000	£'000	£'000	
Reablement	7,317	A programme of reablement encourages service users to regain their confidence and skills following a period of illness or an injury. The programme is agreed by a multidisciplinary team and supports individuals to meet their expressed goals to return to a level of independence and includes support with daily living activities and other practical tasks. The programme may last up to 6 weeks.	30	167	111	308	Through effective MDT intervention increase number of people requiring long term care following reablement
Domiciliary Care	7,317	Domiciliary Service, also known as home care, provides practical or personal care for someone in their own home. This could be because health or mobility is declining, or a person may have been in hospital or they have a long term health condition. As at the end of August 2016 there were 194 clients receiving a small package of Domiciliary Care.	31	62	62	155	Reduce the number of care packages of less than 5 hours by 50% through effective MDT working and sourcing alternatives to meet client outcomes
Information Advice & Assistance	7,317	The provision of an Information, Advice and Assistance (IAA) service is a new duty under the Social Services and Wellbeing(Wales) Act. Evidence has demonstrated that providing a robust IAA service to the public empowers individuals to find solutions to support their needs. This focus on prevention helps people to maintain their own independence and wellbeing and can reduce demand on formal care services	0	186	186	372	To provide the public with robust information and advice to enable them to 'help to help themselves' and avoiding formal services where appropriate
LA Extra Care	7,317	Extra care is a good compromise between sheltered housing and a care home. It allows residents to continue living independently, typically in a self-contained flat or bungalow, while benefiting from personal care support. As at the end of August 2016 there were 74 clients receiving a small package of Domiciliary Care.	50	0	0	50	Review and promote reablement interventions to reduce levels of dependency
Careline	-105	Careline is a personal alarm service that the department provides. The alarm is monitored 24 hours a day, 7 day a week, every day of the year. The service can help people with different needs from older people who may live alone (providing them with the knowledge that help is always at hand) to those living with medical conditions, learning difficulties or maybe mobility problems (enabling them to continue to live independently). It provides peace of mind for family members knowing that loved ones can access help immediately, if needed. There are currently 1,236 clients receiving Telecare from the department.	127	0	0	127	Careline income from corporate clients
OPPD - In House Services	202	The division has a number of business critical IT systems for services that it provides to clients and members. These include APP for the Public Protection Service, Northgate OHM's for Housing services and Jontek for Care and Support Services.	0	50	25	75	Savings as a result of investment in new I.T. system for Care & Support. There will be a requirement to replace/upgrade existing systems which will require investment (possibly capital)
Total Older People Physical Disabilities			941	1,243	1,028	3,212	

Learning Disabilities

Private Sector Residential Homes	6,891	People may move into a care home because they have gradually found it more difficult to manage at home, or because an illness or accident has affected their ability to live independently. Residential care homes offer services such as laundry and meals and help with personal care. Some homes offer short-term stays but normally they provide more long-term or permanent care. As of the 30th September 2016 there were 185 Mental Health & Learning Disability clients funded in a Private Residential Care Home.	400	450	125	975	Reviewing and rightsizing of residential placements and community care packages to ensure an appropriate level of support, this will include stepping down provision to maximise independence and collaborative funding opportunities with health partners. This savings target also takes account of growth in demand based on data over the last three years.
Supported Accommodation	6,891	Supported living is a type of residential support that helps vulnerable adults, including people with learning disabilities, to live independently in the community. Supported living arrangements are very flexible and are designed to give each person choice and control over their home and the way they live their life. As of the 30th September 2016 there were 146 Mental Health & Learning Disability clients receiving supported accommodation.	50	100	100	250	
Other Non Residential services	1,891	Services provided to clients to meet identified need including community support, day care, day opportunities, direct payments, respite care and Shared Lives / Adult Placement	20	60	40	120	

DEPARTMENT	16-17 Budget	FACT FILE	2017-18 Proposed	2018-19 Proposed	2019-20 Proposed	Total	EFFICIENCY DESCRIPTION
	£'000		£'000	£'000	£'000	£'000	
Supported Accomodation	5,207	Supported living is a type of residential support that helps vulnerable adults, including people with learning disabilities, to live independently in the community. Supported living arrangements are very flexible and are designed to give each person choice and control over their home and the way they live their life. As of the 30th September 2016 there were 146 Mental Health & Learning Disability clients receiving supported accommodation.	300	0	0	300	Introduction of a Capped Hourly rate for service providers of supported accomodation.
Ordinary Residence	5,207	Section 35 of the Social Services and Wellbeing (Wales) Act 2014 requires a local authority to meet the care and support needs of individuals who are ordinarily resident in their area. The concept of 'Ordinary residence' is used to determine which local authority has a duty to assess and meet the care and/ or support needs of an individual. A person is ordinarily resident if they are normally residing in the UK (apart from temporary or occasional absences), and their residence here has been adopted voluntarily and for settled purposes as part of the regular order of their life for the time being, whether for short or long duration. An example of this would be someone from Carmarthen who has moved to live in a property in a neighbouring authority and has the mental capacity to make that decision.	50	100	100	250	Transfer of funding to other authorities for clients with Ordinary Residence Issues
Divisional Staffing costs	1,105	Management and operational workforce	50	80	100	230	Divisional restructure including on-going severences
Total Learning Disabilities			870	790	465	2,125	

Support Costs

Support Costs	1,856	The service provides business support for Social Care. The functions include payment of creditors, management of transport and premises; the assessment and collection of income for residential and non residential services; and general business support	71	69	0	140	Reduction in staffing
Total Support Costs			71	69	0	140	

Total Social Care & Health Service

1,882 2,102 1,493 5,477

Community Services - Departmental (including Social Care)

Divisional Staffing costs	267	The staffing complement of Housing (Council Fund) ,Public Protection and Support & care services total nearly 400 FTE's with a pay budget of over £8M. Reviews of service provision will include staffing as part of that process, which will produce savings over the medium term. This also includes acceptance of severance requests.	150	150	100	400	Divisional restructure to include on-going severences for Housing, Public Protection and Care & Support Services
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NEW POLICY PROPOSALS

DEPARTMENT	16-17 Budget	FACT FILE	2017-18 Proposed	2018-19 Proposed	2019-20 Proposed	Total	EFFICIENCY DESCRIPTION
	£'000		£'000	£'000	£'000		

Community Services

Older People Physical Disabilities							
Day Services	997	Day opportunities (Services) in Carmarthenshire provide a chance for people to take part in activities outside of the home whilst also providing a break for carers. Some day opportunities are for people with mental health needs, learning disabilities and/or physical disabilities. As of the 30th September 2016 there were 550 clients receiving a Day Service	50	150	0	200	Review and redevelop day services in order to meet the diverse needs of our population.

	2017-18	2018-19	2019-20	Total	Comment
	£'000	£'000	£'000	£'000	
Communities					
Residential Care Pressures	1,000	1,200	1,600	3,800	Cost and demand pressures in older people residential care
Total Communities	1,000	1,200	1,600	3,800	

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SOCIAL CARE & HEALTH SCRUTINY

2016/17			Statutory S/N/S/Both	2017/18			2018/19			2019/20		
Expenditure	Income	Net		Expenditure	Income	Net	Expenditure	Income	Net	Expenditure	Income	Net
£'000	£'000	£'000		£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
			<u>SOCIAL CARE</u>									
			<u>Older People Services</u>									
3,269	-19	3,250	S	3,307	-19	3,289	3,344	-19	3,325	3,381	-19	3,362
8,128	-3,852	4,276	S	8,260	-4,171	4,089	8,391	-4,289	4,102	8,492	-4,374	4,117
17,563	-8,954	8,609	S	18,965	-8,842	10,123	19,381	-9,036	10,344	19,767	-9,217	10,550
788	0	788	S	806	0	806	824	0	824	840	0	840
7,063	-419	6,644	S	6,690	-131	6,559	6,712	-134	6,578	6,793	-137	6,656
306	-198	108	S	313	-203	111	320	-207	113	326	-211	115
615	0	615	S	629	0	629	643	0	643	655	0	655
270	0	270	S	276	0	276	282	0	282	287	0	287
9,477	-2,003	7,473	S	9,358	-2,049	7,308	8,624	-2,095	6,529	7,821	-2,136	5,685
1,407	-264	1,143	S	1,331	-269	1,061	1,175	-275	901	1,136	-279	856
1,251	-1,165	86	S	1,267	-1,322	-55	1,283	-1,351	-68	1,298	-1,378	-81
2,181	-800	1,381	S	2,212	-800	1,412	2,241	-800	1,441	2,269	-800	1,469
1,197	-76	1,121	S	1,172	-78	1,094	1,046	-79	967	1,065	-81	984
53,515	-17,751	35,764		54,587	-17,884	36,702	54,265	-18,285	35,981	54,129	-18,633	35,496
			<u>Physical/Sensory Disabled</u>									
645	-71	575	S	654	-72	582	661	-72	589	669	-73	596
562	-111	451	S	575	-114	461	588	-116	471	599	-119	481
1,364	-116	1,249	S	1,395	-118	1,277	1,426	-121	1,305	1,454	-123	1,331
90	0	90	S	93	0	93	95	0	95	96	0	96
414	0	414	S	423	0	423	433	0	433	441	0	441
1,020	-442	578	S	1,038	-443	596	1,057	-444	613	1,073	-445	629
140	0	140	S	144	0	144	147	0	147	150	0	150
1,834	0	1,834	S	1,876	0	1,876	1,918	0	1,918	1,956	0	1,956
8	0	8	S	8	0	8	8	0	8	9	0	9
6,079	-740	5,339		6,207	-747	5,460	6,332	-754	5,578	6,448	-760	5,688
			<u>Learning Disabilities</u>									
2,736	-891	1,845	S	2,779	-900	1,879	2,822	-908	1,914	2,861	-915	1,945
965	0	965	S	977	0	977	990	0	990	1,001	0	1,001
10,063	-3,157	6,907	S	9,834	-3,229	6,605	9,488	-3,300	6,188	9,448	-3,366	6,082
1,275	0	1,275	S	1,305	0	1,305	1,333	0	1,333	1,360	0	1,360
6,306	-1,068	5,238	S	6,093	-1,092	5,001	6,124	-1,115	5,009	6,145	-1,136	5,008
1,038	-812	226	S	1,052	-812	240	1,065	-812	253	1,078	-812	266
141	0	141	S	144	0	144	148	0	148	151	0	151
3,382	-258	3,124	S	3,424	-264	3,160	3,423	-270	3,153	3,435	-275	3,159
567	0	567	S	574	0	574	581	0	581	588	0	588
2,155	-137	2,018	S	2,205	-140	2,065	2,253	-143	2,110	2,298	-146	2,152
162	0	162	S	166	0	166	169	0	169	173	0	173
842	-2,139	703	S	2,900	-2,174	727	2,956	-2,207	749	3,009	-2,239	771
907	-28	880	S	876	-28	849	802	-28	774	707	-28	679
32,541	-8,490	24,051		32,329	-8,638	23,691	32,155	-8,783	23,372	32,253	-8,917	23,336
92,135	-26,981	65,154		93,122	-27,269	65,854	92,752	-27,821	64,931	92,830	-28,311	64,519

SOCIAL CARE & HEALTH SCRUTINY
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2016/17			Statutory S/NS/Both	2017/18			2018/19			2019/20		
Expenditure	Income	Net		Expenditure	Income	Net	Expenditure	Income	Net	Expenditure	Income	Net
£'000	£'000	£'000		£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
9,135	-26,981	65,154		93,122	-27,269	65,854	92,752	-27,821	64,931	92,830	-28,311	64,519
				<u>Mental Health</u>								
911	-69	842	S	922	-69	853	933	-69	864	944	-69	875
6,277	-2,874	3,403	S	6,421	-2,940	3,481	6,562	-3,005	3,557	6,693	-3,065	3,628
475	-128	346	S	486	-131	354	496	-134	362	506	-137	369
132	0	132	S	136	0	136	138	0	138	141	0	141
675	-98	576	S	690	-101	589	705	-103	602	719	-105	614
238	-10	228	S	242	-10	232	246	-10	236	250	-10	239
93	0	93	S	95	0	95	98	0	98	100	0	100
367	-142	225	S	372	-142	230	378	-142	236	383	-142	241
9,168	-3,322	5,847		9,365	-3,393	5,971	9,557	-3,463	6,094	9,736	-3,528	6,208
				<u>Director's Office</u>								
1,565	-67	1,498	S	1,584	-69	1,516	1,602	-70	1,532	1,620	-71	1,548
1,565	-67	1,498		1,584	-69	1,516	1,602	-70	1,532	1,620	-71	1,548
				<u>Support Costs</u>								
2,733	-224	2,509	S	2,691	-226	2,465	2,650	-227	2,423	2,675	-229	2,446
0	0	0	S	169	0	169	173	0	173	176	0	176
1,567	-1,567	0	S	1,602	-1,600	2	1,632	-1,632	0	1,664	-1,662	2
4,300	-1,791	2,509		4,462	-1,826	2,636	4,455	-1,860	2,595	4,515	-1,891	2,624
107,168	-32,161	75,008		108,533	-32,557	75,977	108,366	-33,214	75,152	108,700	-33,802	74,899
				SOCIAL CARE & HEALTH SCRUTINY TOTAL								

APPENDIX C

CHARGING DIGEST - Social Services

2015/16 Actual £	2016/17 Budget £	2017/18 Budget £	Business Unit	Service Provided	2016/17 Charge Levied £	2017/18 Proposed Charge £	Comments
3,389	2,792	2,856		Laundry Cost per person to max 1 load	2.30	2.35	With effect from: *3rd or 10th April 2017
316,032	438,056	444,367		Community meals incl day care Cost per meal	4.00	4.30	*3rd or 10th April 2017
				Transport Day Care Transport Charge (per return journey)	FREE	FREE	N/A
183,644	183,644	187,868		**Respite Care Charge to Clients Adults with learning difficulties (per wk) All other adults <u>except</u> those receiving Higher Rate Attendance Allowance / Higher Rate Disability Living Allowance (per wk) All other adults receiving Higher Rate Attendance Allowance / Higher Rate Disability Living Allowance (per wk)	113.66 138.93 189.49	116.27 142.13 193.85	*3rd or 10th April 2017 *3rd or 10th April 2017 *3rd or 10th April 2017
1,859,134	1,782,030	1,823,017		Home Care Charges Max charge (per wk) Home Care Charge (per hr) Day Care - all adults (per session) Employment Services & Day Opportunities Supported Employment Education Support (per hour) Supported Living (per hour) Adult Placement Long Term Placement (per night) Adult Placement Short/Short Breaks Adult Placement Day Care/Sessional Replacement Care Community Support	60.00 10.30 10.05 FREE FREE 10.30 10.30 10.05 10.05 2.05 10.30 10.30	Awaiting announcement from Welsh Government 10.55 10.30 FREE FREE 10.55 10.55 10.30 10.30 2.10 10.55 10.55	*3rd or 10th April 2017 *3rd or 10th April 2017 *3rd or 10th April 2017 N/A N/A *3rd or 10th April 2017 *3rd or 10th April 2017 *3rd or 10th April 2017 *3rd or 10th April 2017 *3rd or 10th April 2017 *3rd or 10th April 2017 *3rd or 10th April 2017
				Telecare (per week)	3.01	3.08	*3rd or 10th April 2017

*Please be aware that the pension uplift rate and the date of implementation for 2017/18 hasn't yet been received from the DWP, and therefore the rate of inflation used to calculate the Respite care charge is in line with the councils rate of inflation of 2.3%.

**Respite care charges to clients may need to be reviewed when the charging guidelines for the new Social Services & Wellbeing (Wales) Act 2014 are finalised.

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SOCIAL CARE & HEALTH SCRUTINY COMMITTEE 12 DECEMBER, 2016

FIVE YEAR CAPITAL PROGRAMME – 2017/18 - 2021/22

To consider and comment on the following issues:

- As part of the budget consultation, members are asked to examine the attached capital programme and in particular consider the projects relating to the Social Care & Health Scrutiny Committee's service areas.

REASONS:

- To undertake consultation with the Social Care & Health Scrutiny Committee on the five year Capital Programme for 2017/18 to 2021/22.

To be referred to the Executive Board for decision: Yes

EXECUTIVE BOARD MEMBER PORTFOLIO HOLDER:-

Cllr. David Jenkins (Resources)

Cllr. Jane Tremlett (Social Care and Health)

Directorate:

Corporate Services

Report Author:

Chris Moore

Designation:

Director of Corporate Services

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EXECUTIVE SUMMARY
SOCIAL CARE & HEALTH SCRUTINY COMMITTEE
12/12/16

FIVE YEAR CAPITAL PROGRAMME – 2017/18 - 2021/22

The report provides members with an initial view of the 5 year Capital Programme from 2017/18 to 2021/22.

The report will form the basis of the budget consultation process with members and other relevant parties. Feedback from this consultation process along with the outcome of the final settlement will inform the final budget report which will be presented to members in February 2017.

DETAILED REPORT ATTACHED ?

YES

IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report :

Signed: **C. Moore** **Director of Corporate Services**

Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
NONE	NONE	YES	NONE	NONE	NONE	YES

Finance

The updated Capital Programme is projected to be funded for the first 4 years from 2017/18 to 2020/21. There is currently a shortfall of £3.123m in 2021/22 which will be reviewed before the final budget report is approved by County Council.

The programme includes potential grant funding sources that are yet to be confirmed and as the programme develops these proposals may need to be revisited if anticipated funding is not forthcoming.

Physical Assets

New assets created from the Capital Programme will be added to the Council's portfolio. In addition the Programme proposes expenditure to improve the existing assets and comply with statutory responsibilities

CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: Chris Moore

Director of Corporate Services

1. Scrutiny Committee - Relevant Scrutiny Committees will be consulted.

2. Local Member(s) - N/A

3. Community / Town Council - N/A

4. Relevant Partners - Consultation with relevant partners will be undertaken and results will be reported during the budget process.

5. Staff Side Representatives and other Organisations - Consultation with other organisations will be undertaken and results will be reported during the budget process.

Section 100D Local Government Act, 1972 – Access to Information

List of Background Papers used in the preparation of this report:

These are detailed below

Title of Document	File Ref No.	Locations that the papers are available for public inspection
2016-21 Capital Programme		Corporate Services Dept, County Hall, Carmarthen
2017-22 Capital Programme		Corporate Services Dept, County Hall, Carmarthen

REPORT OF DIRECTOR OF CORPORATE SERVICES

SOCIAL CARE & HEALTH SCRUTINY COMMITTEE

12th DECEMBER 2016

FIVE YEAR CAPITAL PROGRAMME – 2017/18, 2018/19, 2019/20, 2020/21 and 2021/22

(Copy of the report to Executive Board on 21/11/16)

HEAD OF SERVICE & DESIGNATION	DIRECTORATE	TELEPHONE NO.
C Moore, Director of Corporate Services	Corporate Services	01267 224160
AUTHOR & DESIGNATION	DIRECTORATE	TELEPHONE NO.
C Moore, Director of Corporate Services	Corporate Services	01267 224160

1. INTRODUCTION

- 1.1. The report provides members with an initial view of the 5 year Capital Programme 2017/18, 2018/19, 2019/20, 2020/21, 2021/22.
- 1.2. The report will form the basis of the budget consultation process with members and other relevant parties. Feedback from this consultation process, along with the outcome of the final settlement, will inform the final budget report which will be presented to members in February 2017.

2. BACKGROUND

- 2.1. Members will recall that the Authority last year agreed a 5 year capital programme 2016 to 2021 which was approved at County Council on 23rd February 2016.
- 2.2. When the capital programme was approved it was fully funded by the end of the 4th year but showed a shortfall in the final year 2020/21. The programme was therefore approved on the basis that the budgets for this year be reviewed or reprofiled in order to address the funding shortfalls. This shortfall in funding was subsequently addressed during the closure of the 2016-17 accounts.
- 2.3. The capital programme has been revisited by the Strategic Assets Steering Group (SASG) and Departments were also asked to submit proposals to bring forward expenditure within the existing capital programme and to identify any new strategic projects.
- 2.4. Departments have also submitted proposals for new projects in 2021/22 which is the new 5th year of the programme. Projects have been assessed along similar lines to previous years with only those regarded as a high priority being included in the programme.

2.5. The proposed capital programme is detailed in the attached Appendix A showing the forecast expenditure and income over the five year period.

3. PRIORITIES

3.1. The current Corporate Strategy for 2016-2021 sets out the Authority's strategic priorities and aspirations and its overarching themes and core values, and the programme has been developed in line with these.

3.2. The proposed capital programme is £208m over the 5 years, and is aimed at delivering a number of key projects that will create jobs and improve the quality of life for the people of Carmarthenshire, with the key investment headings being:

	£m
Schools	79
Housing	10
Lesiure	23
Regeneration	28
Environment	72

4. FUNDING

4.1. The provisional settlement that has recently been received from the WG indicates capital funding of £9.400m for the Authority in 2017-18. This is made up of Supported Borrowing of £5.844m and General Capital Grant of £3.556m. This means a small decrease in funding of £9k or 0.1% compared to the current financial year 2016-17. In the absence of any forward indications by WG this level of funding has been assumed for each year of the five year programme.

4.2. The level of capital receipts funding included within the programme has been revised in line with latest estimates. General capital receipts of £11.096m are forecast over the 5 year period together with earmarked Education receipts from the sale of school buildings at £1.739m. The level of receipts is continually monitored during the year and may require future capital programmes to be adjusted if there is a shortfall.

4.3. Usage of earmarked reserves were approved as part of previous year's reports and were included as funding for the current capital programme. Additional funding has now been identified and allocated within the new proposed have been submitted by Departments. In total some £26.267m of reserve funding is included over the five years of the programme as set out in this report.

4.4. Within the Modernising Education Programme (MEP) additional resources have been approved in recent years for the Band A 21st Century Schools projects. This had arisen as the WG had asked Authorities to bring forward their Band A projects for completion by 2018/19. This investment includes supported borrowing, earmarked reserves and capital receipts and is part of the County Council's 50% contribution towards the Band A projects with the balance coming from the WG. The Authority will need to monitor closely

the development of this programme in order to ensure that funding is available to cover the projects as they are committed.

5. CAPITAL PROGRAMME 2017/18 TO 2021/22

- 5.1. When the capital programme was approved at County Council on 23rd February 2016, it was fully funded by the end of the 4th year but showed a shortfall of £2.789 in 2020/21. As outlined in paragraph 2.2, this shortfall was addressed at the end of the 2016-17 financial year.
- 5.2. The review looked at both the existing projects and the new proposals put forward and the revised capital programme is set out within the report for consideration.

Community Services

- 5.3. Within Community Services the main additions include the Restaurant/Café, Visitor Hub, Indoor Activity Centre and Beach Sports Zone Area at Pembrey Country Park in 2017/18. A further investment of £500k into Carmarthen Museum £250k (2018/19) and £250k (2019/20)
- 5.4. Included within the Social Care section, is the budget of £5.5m for the Llanelli Area Review. This budget will be used in conjunction with the £1.5m allocated in 2016/17. Thereby the total budget still stands at £7.0m
- 5.5. In 2021/22 within Private Sector Housing, funding is provided for Disabled Facility Grants £2m, while in Leisure the Rights of Way Improvement Programme is allocated £50k and to replace the Astro Pitch at Carmarthen Leisure Centre £250k

Environment

- 5.6. A number of new proposals for the 2021/22 year have been included. Some of these are a continuation of existing rolling programmes of work such as Highway Improvements £600k, Bridge Maintenance £400k and Road Safety Improvement £500k.
- 5.7. There is also further funding allocated in 2021/22 to existing projects such as the Cross Hands Economic Link Road Phase 2 with £750k CCC funding and the Tywi Valley Transport Corridor Concept £500k CCC funding.
- 5.8. A new proposal has been included in 2021/12 for a further phase of redevelopment of the Glanamman Industrial Estate at £1m. The continuation and ongoing investment into Capitalised Maintenance £3m is retained for 2021/22

Education and Children

- 5.9. In the Education and Children capital programme the MEP includes the continuation of the Band A 21st Century Schools improvement programme. The programme has been reprofiled to reflect updated costs and the phasing of work. New schemes has also been included for proposed works

at Gorslas, Dewi Sant and Laugharne £17.250m (17/18 to 19/20) which is funded by Welsh Government grant and the Authority .

- 5.10. Further funding has also been allocated in 2021/22 for the next stage of the 21st Century Schools improvement programme. The Band B projects have been allocated County Council funding of £5.538m for Ammanford Primary and Llandeilo Primary and it has been assumed that WG will provide 50% as is the case with Band A, although this is yet to be confirmed.
- 5.11. In 2021/22 the continuation of the Pupil Referral Unit scheme has been included for the upgrade on an existing site at Porth Tywyn old site £1.800m.

Chief Executive and Corporate Services

- 5.12. In the Chief Executive and Corporate Services programme, which now includes Economic Development, funding has also been allocated in 2021/22 for various IT Developments £900k and the Transformation Strategy Project Fund £1.5m. In 2017/18 and 2018/19 £200k per annum has been allocated to Digital transformation scheme development.

6. SUMMARY

The table below shows an overall summary of the expenditure and its funding both by County Council and externally.

Capital Programme Summary

	2017/18 £'000	2018/19 £'000	2019/20 £'000	2020/21 £'000	2021/22 £'000
<u>Expenditure</u>					
Community Services	20,850	10,850	2,350	2,100	2,550
Environment	16,296	11,195	11,880	9,185	11,490
Education & Children	22,899	24,226	7,669	10,500	13,375
Chief Executive and Corporate Services	10,955	5,225	4,750	4,750	5,400
Total Expenditure	71,000	51,496	26,649	26,535	32,815
<u>Funding</u>					
External Funding	25,118	24,727	9,925	11,775	13,792
Net Expenditure Funded by CCC	45,882	26,769	16,724	14,760	19,023
<u>CCC Funding</u>					
Supported Borrowing	5,844	5,844	5,844	5,844	5,844
Unsupported Borrowing	5,500	250	0	0	0
General Capital Grant	3,556	3,556	3,556	3,556	3,556
Additional Borrowing – LGBI	675	325	0	0	0
Capital Receipts	2,994	3,042	2,060	1,500	1,500
Capital Reserves	14,646	3,287	147	3,860	0
Outcome Agreement Grant	1,328	0	0	0	0
Additional Borrowing - MEP	2,438	4,401	4,987	0	0
Prudential Borrowing - Fleet Replacement	1,826	0	0	0	0
Prudential Borrowing – 21 st Century Schools	2,311	0	0	0	0
MEP Revenue Contribution/Cap Receipts	2,764	3,564	130	0	5000
Joint Venture Funding Llanelli Leisure Centre	2,000	2,500	0	0	0
Funding Carried Forward	0	0	0	0	0
Overall Net Position - Surplus (+)/Deficit (-)	0	0	0	0	-3,123

- 6.1. To summarise the overall position, the capital programme is funded for the first 4 years from 2017/18 to 2020/21. There is currently a shortfall of £3.123m in the final year of the programme 2021/22.
- 6.2. The total cost of the programme is £208.495m which is funded by the County Council £120.035m and External Funding £85.337m, leaving the shortfall of £3.123m.
- 6.3. The full detail of the proposed capital programme for the five year period 2017/18 to 2021/22 is attached in Appendix A.

7. REVENUE IMPLICATIONS

- 7.1. No revenue implications have been validated within the revenue budget and if funding is required, departments will need to find resources from within their existing budgets.

8. RECOMMENDATIONS

- 8.1. That Executive Board notes the content of this report and endorses it as a provisional capital programme for consultation purposes.

Appendix A

Five Year Capital Programme 2017/18, 2018/19, 2019/20, 2020/21 and 2021/22

Capital Programme

COUNCIL FUND	County Council Funding 2017/18 £'000	External Funding 2017/18 £'000	Total Scheme 2017/18 £'000	County Council Funding 2018/19 £'000	External Funding 2018/19 £'000	Total Scheme 2018/19 £'000	County Council Funding 2019/20 £'000	External Funding 2019/20 £'000	Total Scheme 2019/20 £'000	County Council Funding 2020/21 £'000	External Funding 2020/21 £'000	Total Scheme 2020/21 £'000	County Council Funding 2021/22 £'000	External Funding 2021/22 £'000	Total Scheme 2021/22 £'000
COMMUNITY SERVICES															
Private Sector Housing															
Disabled Facility Grants	2,000	0	2,000	2,000	0	2,000	2,000	0	2,000	2,000	0	2,000	2,000		2,000
Social Care															
Llanelli Area Review	5,500	0	5,500	0	0	0	0	0	0	0	0	0			0
Leisure															
Sports & Leisure															
Llanelli Leisure Centre	6,000	2,000	8,000	6,000	2,500	8,500	0	0	0	0	0	0			0
AstroTurf Pitch (ATP) replacement at CLC	0	0	0	0	0	0	0	0	0	0	0	0	250		250
Parks & Countryside															
Rights of Way Improvement Programme	50	50	100	50	50	100	50	50	100	50	50	100	50	250	300
Rights of Way Bridge Strengthening Programme	200	200	400	0	0	0	0	0	0	0	0	0			0
Strategic Open Spaces - site development and linkages	400	400	800	0	0	0	0	0	0	0	0	0			0
Pembrey Country Park - strategic infrastructure development - Visitor hub & Café	600	0	600	0	0	0	0	0	0	0	0	0			0
Pembrey Country Park - Indoor Activity Centre & New Beach Sports Zone	700		700												
Arts & Culture															
Oriel Myrddin Gallery Redevelopment, Carmarthen	250	750	1,000	0	0	0	0	0	0	0	0	0			0
Libraries & Museums															
Carmarthenshire County Museum Abergwili	0	0	0	250	0	250	250	0	250		0	0			0
Carmarthenshire Archive Relocation	1,750	0	1,750	0	0	0	0	0	0	0	0	0			0
Total Community Services	17,450	3,400	20,850	8,300	2,550	10,850	2,300	50	2,350	2,050	50	2,100	2,300	250	2,550

Appendix A

Five Year Capital Programme 2017/18, 2018/19, 2019/20, 2020/21 and 2021/22

COUNCIL FUND	County Council Funding 2017/18 £'000	External Funding 2017/18 £'000	Total Scheme 2017/18 £'000	County Council Funding 2018/19 £'000	External Funding 2018/19 £'000	Total Scheme 2018/19 £'000	County Council Funding 2019/20 £'000	External Funding 2019/20 £'000	Total Scheme 2019/20 £'000	County Council Funding 2020/21 £'000	External Funding 2020/21 £'000	Total Scheme 2020/21 £'000	County Council Funding 2021/22 £'000	External Funding 2021/22 £'000	Total Scheme 2021/22 £'000
ENVIRONMENT															
Street Scene															
Highways Infrastructure															
- Highways	750	0	750	600	0	600	600	0	600	600	0	600	600		600
- Bridges	1,050	0	1,050	400	0	400	400	0	400	400	0	400	400		400
Street Lighting Replacement & Upgrade	360	0	360	360	0	360	0	0	0	0	0	0	0		0
Coastal Defence	300	0	300	0	0	0	0	0	0	0	0	0	0		0
Pantyglyn Retaining Wall & Culvert	100	0	100	0	0	0	0	0	0	0	0	0			0
Transportation															
Multi Storey Car Park, Llanelli	50	0	50	60	0	60	60	0	60	60	0	60	60		60
Road Safety Improvement Schemes	250	0	250	250	0	250	250	0	250	250	0	250	500		500
Cross Hands Economic Link Road Phase 3	75	2,425	2,500	0	3,000	3,000	1,750	1,750	3,500	300	1,200	1,500	750	530	1,280
Tywi Valley Cycle Way	300	950	1,250	325	950	1,275	550	950	1,500	500	1,000	1,500	500	800	1,300
Ammanford Distributor Road Phase 3	0	0	0	0	100	100	100	400	500	150	350	500	0	0	0
Llanelli Rail Station	25	475	500	0	0	0	0	0	0	0	0	0			0
Walking & Cycling Linkages	25	725	750	25	725	750	125	875	1,000	125	875	1,000	125	2,875	3,000
Transport Interchange & Bus Corridor Improvements	0	200	200	0	200	200	20	200	220	0	0	0			0
Safe Routes in the Communities	25	225	250	0	250	250	25	225	250	25	225	250	25	225	250
Wind St/Tirydail Junction Ammanford	100	900	1,000	25	325	350	0	0	0	0	0	0			0
A4138 Hendy Link Road	25	225	250	25	475	500	100	400	500	25	0	25			0
Highway Junction Improvements/Signals Upgrade	25	75	100	25	75	100	25	75	100	25	75	100	25	75	100
Carmarthen West New Road - Developer Contribution	0	110	110	0	0	0	0	0	0	0	0	0			0
Fleet Replacement - Prudential Borrowing	1,826	0	1,826	0	0	0	0	0	0	0	0	0			0
Property															
Capital Maintenance	3,250	0	3,250	3,000	0	3,000	3,000	0	3,000	3,000	0	3,000	3,000		3,000
County Farms - Farm houses & Outbuildings	300	0	300	0	0	0	0	0	0	0	0	0			0
St Davids Park	1,150	0	1,150	0	0	0	0	0	0	0	0	0			0
Glanamman Industrial Estate Redevelopment	0	0	0	0	0	0	0	0	0	0	0	0	1,000		1,000
Total Environment	9,986	6,310	16,296	5,095	6,100	11,195	7,005	4,875	11,880	5,460	3,725	9,185	6,985	4,505	11,490

Appendix A

Five Year Capital Programme 2017/18, 2018/19, 2019/20, 2020/21 and 2021/22

Capital Programme

COUNCIL FUND	County Council Funding 2017/18 £'000	External Funding 2017/18 £'000	Total Scheme 2017/18 £'000	County Council Funding 2018/19 £'000	External Funding 2018/19 £'000	Total Scheme 2018/19 £'000	County Council Funding 2019/20 £'000	External Funding 2019/20 £'000	Total Scheme 2019/20 £'000	County Council Funding 2020/21 £'000	External Funding 2020/21 £'000	Total Scheme 2020/21 £'000	County Council Funding 2021/22 £'000	External Funding 2021/22 £'000	Total Scheme 2021/22 £'000
EDUCATION & CHILDREN															
Modernising Education Programme															
Coedcae Phase 1	100	0	100			0			0	0	0	0			0
Seaside - New School	2,861	1,864	4,725	818		818			0	0	0	0			0
Llanelli Vocational Village	87	0	87			0			0	0	0	0			0
Trimsaran	1,500	800	2,300	1,300		1,300	144		144	0	0	0			0
Cwm Tywi - New Area Primary School	1,061	939	2,000	1,064	1,000	2,064	100		100	0	0	0			0
St John Lloyd Phase 1	504	1,436	1,940	38	73	111			0	0	0	0			0
Ammanford Primary - Major Development	75	0	75	75		75	75		75	1,325	1,250	2,575	3,813	3,812	7,625
Llandeilo A	60	0	60	70		70	70		70	1,810	1,700	3,510	1,725	1,725	3,450
Parc Y Tywyn	3,761	2,339	6,100	1,275		1,275	170		170	0	0	0			0
Carmarthen West New School	0	500	500	0	1,500	1,500	0	2,000	2,000	0	0	0	0	500	500
Pontyberem	500	500	1,000	500	1,500	2,000	800		800	0	0	0			0
21st Century Schools Band B	110	0	110	110		110	110		110	1,865	1,750	3,615			0
Gorslas	500	0	500	2,946	1,904	4,850	1,550		1,550			0			0
Laugharne	200	0	200	300	1,000	1,300	400		400			0			0
Dewi Sant	1,287	1,000	2,287	1,863	3,550	5,413	750		750			0			0
Rhys Prichard	300	200	500	550	1,550	2,100	800		800			0			0
Pupil Referral Unit	15	0	15	40		40	120	0	120	500	300	800	1,800		1,800
Rhydygors School Refurbishment	400	0	400	200	1,000	1,200	200	0	200	0	0	0			0
Catering															
Ysgol Griffith Jones Kitchen Refurbishment	0	0	0	0	0	0	130	0	130	0	0	0			0
Emlyn Comprehensive Kitchen Refurbishment	0	0	0	0	0	0	150	0	150	0	0	0			0
Brynsaron Kitchen Refurbishment	0	0	0	0	0	0	100	0	100	0	0	0			0
Total Education & Children	13,321	9,578	22,899	11,149	13,077	24,226	5,669	2,000	7,669	5,500	5,000	10,500	7,338	6,037	13,375

Appendix A

Five Year Capital Programme 2017/18, 2018/19, 2019/20, 2020/21 and 2021/22

COUNCIL FUND	County Council Funding 2017/18 £'000	External Funding 2017/18 £'000	Total Scheme 2017/18 £'000	County Council Funding 2018/19 £'000	External Funding 2018/19 £'000	Total Scheme 2018/19 £'000	County Council Funding 2019/20 £'000	External Funding 2019/20 £'000	Total Scheme 2019/20 £'000	County Council Funding 2020/21 £'000	External Funding 2020/21 £'000	Total Scheme 2020/21 £'000	County Council Funding 2021/22 £'000	External Funding 2021/22 £'000	Total Scheme 2021/22 £'000
CHIEF EXECUTIVE & CORPORATE SERVICES															
IT Strategy Developments															
Enhancements to County Backbone Network	200	0	200	200	0	200	0	0	0	0	0	0			0
Licence Management	50	0	50	50	0	50	0	0	0	0	0	0			0
E Government / Service Transformation Developments	75	0	75	75	0	75	0	0	0	0	0	0			0
IT Security Provision	50	0	50	50	0	50	0	0	0	0	0	0			0
Systems Consolidation	50	0	50	50	0	50	0	0	0	0	0	0			0
Mobile Developments	50	0	50	50	0	50	0	0	0	0	0	0			0
Applications Interfacing	50	0	50	50	0	50	0	0	0	0	0	0			0
Virtual Unix Replacement	200	0	200	0	0	0	0	0	0	0	0	0	110		110
I/O Virtualisation	200	0	200	0	0	0	0	0	0	0	0	0			0
IT Strategy Developments	0	0	0	0	0	0	250	0	250	250	0	250			0
Information Security and Governance	0	0	0	0	0	0	0	0	0	0	0	0	60		60
Virtualised Server & Storage Environment Replacement	0	0	0	0	0	0	0	0	0	0	0	0	315		315
Digital Transformation	0	0	0	0	0	0	0	0	0	0	0	0	75		75
Disaster Recovery	0	0	0	0	0	0	0	0	0	0	0	0	190		190
Legacy Network & Telephony Equipment Replacement	0	0	0	0	0	0	0	0	0	0	0	0	150		150
Digital Transformation - Scheme development	200		200	200		200									
Regeneration															
Transformation Strategy Project Fund	1,500	3,000	4,500	1,500	3,000	4,500	1,500	3,000	4,500	1,500	3,000	4,500	1,500	3,000	4,500
Rural Enterprise Fund	1,000	1,000	2,000	0	0	0	0	0	0	0	0	0			0
Transformation Commercial Property Development Fund	1,500	1,830	3,330	0	0	0	0	0	0	0	0	0			0
Total Chief Executive & Corporate Services	5,125	5,830	10,955	2,225	3,000	5,225	1,750	3,000	4,750	1,750	3,000	4,750	2,400	3,000	5,400
TOTAL COUNCIL FUND	45,882	25,118	71,000	26,769	24,727	51,496	16,724	9,925	26,649	14,760	11,775	26,535	19,023	13,792	32,815

Appendix A

Five Year Capital Programme 2017/18, 2018/19, 2019/20, 2020/21 and 2021/22

Capital Programme

COUNCIL FUND	County Council Funding 2017/18 £'000	External Funding 2017/18 £'000	Total Scheme 2017/18 £'000	County Council Funding 2018/19 £'000	External Funding 2018/19 £'000	Total Scheme 2018/19 £'000	County Council Funding 2019/20 £'000	External Funding 2019/20 £'000	Total Scheme 2019/20 £'000	County Council Funding 2020/21 £'000	External Funding 2020/21 £'000	Total Scheme 2020/21 £'000	County Council Funding 2021/22 £'000	External Funding 2021/22 £'000	Total Scheme 2021/22 £'000
<u>County Council Funding</u>															
Supported borrowing	80			4,098			5,464			344			5,844		
Supported borrowing-MEP	5,764			1,746			380			5,500					
Unsupported borrowing	5,500			250			0			0					
Additional Borrowing (Highways)	675			325											
General Capital Grants	3,556			3,556			3,556			3,556			3,556		
Capital Receipts	2,994			3,042			2,060			1,500			1,500		
Reserves	14,646			3,287			147			3,860					
Outcome Agreement Grant (Allocated not yet secured)	1,328			0			0			0					
Additional Borrowing MEP	2,438			4,401			4,987			0					
Joint Venture Funding Llanelli Leisure Centre	2,000			2,500			0			0					
Education Revenue/Reserve Contribution	2,355			2,364			0			0			5,000		
Education Capital Receipts	409			1,200			130			0					
Prudential Borrowing - Fleet Replacement	1,826			0			0			0					
Prudential Borrowing - 21st Century Schools LGBI	2,311			0			0			0					
Total County Council Funding	45,882			26,769			16,724			14,760			15,900		
Net Position (Minus = Shortfall)	0			0			0			0			-3,123		

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Agenda Item 8

SOCIAL CARE & HEALTH SCRUTINY COMMITTEE
12TH DECEMBER 2016

COMMUNITIES DEPARTMENTAL SUMMARY BUSINESS PLAN 2017-20
 (Extracts relevant to Social Care & Health Scrutiny Remit)

To consider and comment on the following issues:

Progress made against departmental priorities set out in last year's business plan alongside the budget.

Elements contained in the attached business plan relate to:-

- Mental Health & Learning Disabilities
- Commissioning
- Business Support
- Older People/Physical Disabilities/Integrated Services

Reasons:

Scrutiny Committee has a key role to play in scrutinising business plans as part of the Authority's performance management framework.

To be referred to the Executive Board / Council for decision: **NO**

EXECUTIVE BOARD MEMBER PORTFOLIO HOLDER:-

Cllr. J. Tremlett (Social Care & Health Portfolio Holder)

<p>Directorate Communities Director: Jake Morgan Report Author: Silvana Sauro</p>	<p>Designations: Director of Community Services Performance, Analysis & Systems Manager</p>	<p>Tel Nos. 01267 224698 01267 228897 E Mail Addresses: jakemorgan@carmarthenshire.gov.uk ssauro@carmarthenshire.gov.uk</p>
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SOCIAL CARE & HEALTH SCRUTINY COMMITTEE

12TH DECEMBER, 2016

Communities Departmental Summary Business Plan 2017-20

(Extracts relevant to Social Care & Health Scrutiny Remit)

1. BRIEF SUMMARY OF PURPOSE OF REPORT.

- This summary business plan provides Members with an update of progress made against actions for Social Care & Health Services which are included in the departmental business plan from 2017-20.
- The full business plan which will be presented to members in the New Year will contain an update on the priorities for the department during 2017-20. This plan will be developed following a series of workshops with senior managers and staff which are currently underway.

DETAILED REPORT ATTACHED?

YES

IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report :

Signed: Jake Morgan (Director of Communities)

Policy, Crime & Disorder & Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
NONE	NONE	NONE	NONE	NONE	NONE	NONE

CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: Jake Morgan (Director of Communities)

1. Local Member(s)

None

2. Community / Town Council

None

3. Relevant Partners

None

4. Staff Side Representatives and other Organisations

None

Section 100D Local Government Act, 1972 – Access to Information

List of Background Papers used in the preparation of this report:

There are none.

Title of Document	File Ref No.	Locations that the papers are available for public inspection

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Department for Communities

Summary Business Plan

2017-2020

Progress update on Actions

Mental Health & Learning Disabilities

Improve the Quality and consistency of assessment, care plans and reviews across Care Management Teams

Within Mental Health services, assessments, care plan and review paperwork is pretty much prescribed eg care and treatment planning. Staff already use common electronic formats for completion of relevant documentation. A challenge for 2017-18 is that this work is done outside of Care First (via FACE – Health IT system) which other teams in the Division operate. A practice audit in conjunction with managers will be held before the end of the financial year.

Develop a commissioning Plan for learning Disability and Mental Health

Mental Health services already participate in and contribute to a local delivery plan under the Together for Mental Health Strategy. Additionally the Population needs assessment under the Social Services and Wellbeing (Wales) Act (SS&WBA) can start to provide the foundation stone for planned service developments.

To work in conjunction with the department's learning and development team in order to prepare the social care workforce for implementation of the Social Services and Wellbeing (Wales) Act.

Regular and ongoing training on the relevant elements and Codes of Practice have been delivered to staff and this will continue.

Community Inclusion

Measure /performance management and how we can use information to make the service more efficient and meet budgetary pressures.

- Unit costs for all services now identified
- Regional Learning Disabilities population needs assessment completed and submitted to editorial board
- Carefirst being rolled out to all services with a view to service led statutory reviews taking place where appropriate from January 17
- Plans developed to use Info @ Work within services early next year.
- All Welsh Independent Living Grant (WILG) information now captured on Carefirst
- Service managers now attending a Package Allocation Meeting (PAM).

Ensure we maximise external funding streams and develop robust compliance mechanisms

- Business planning forum developed
- Bid placed with the LEADER programme for home share pilot in shared lives service

Develop a model to replace Real Opportunities aligned to other local developments, including the Families First programme and Youth Service developments

- Three posts secured under Cynnydd project working with young people at risk of becoming NEET (Not in Education, Employment or Training).
- Bid for a further post via Cam Nesaf just completed

Write protocols for all service delivery systems and establish a timetable for training based on a skills gap analysis. Protocols will be based on County policy and new regulations and standards of the SSWB Act.

- Vision sessions held with all managers
- Draft Project Initiation Document (PID) written for TIC (Transforming/Innovate/Change) improvement review with initiation date set for January if agreed

Develop stakeholder groups to increase the amount of co-production will be established and these will feedback to the local partnership board and strategic board.

- Service delivery group
- Regional LD group with all stakeholders
- Continued attendance at monthly carer coffee mornings
- All managers attending coproduction master class this month
- Managers now members of coproduction Wales
- Coproduction mentorship scheme developed with SPICE, negotiating funding with learning and development
- Regional LD charter written by individuals with a learning disability

Develop proposals for Local Authority Trading Company

- Business plan completed, currently on hold.

Increase the number of citizen directed cooperatives / social enterprises within the county.

- Training accessed on how to set up a cooperative
- Work plan to explore citizen directed cooperatives as an alternative to WILG planned.

Management Re-alignment in Mental Health / Learning Disability

The management re-alignment in MH and LD has been completed and all posts recruited to.

Substance Misuse Team & Integrated Family Support Team

We will evaluate and implement options to integrate Substance Misuse Team & Integrated Family Support Team

Options considered and we will continue to work with Children's Services to develop effective working practices with parents, children and young people affected by substance misuse.

We will review the transition arrangements for young people with substance misuse problems to ensure there is a seamless pathway as they move from children to adult services.

We are undertaking an audit of cases of young people to ensure they are not lost in the gap between services. We have a fortnightly meeting with Child and Adolescent Mental Health Services (CAMHS), Youth Offending and Prevention Service (YOPS) and 3rd sector to manage the transfer of care for young people.

We will review the impact of substance use upon adults with mental health problems. This includes older people and those with Alcohol Related Brain Damage (ARBD)

We have commissioned training for ARBD for staff in substance misuse, mental health & the Community Resource Teams (CRTs). We will be establishing a working group and are considering options to develop a joint social work post to focus on dual diagnosis.

We will review how we meet the needs of young adults who are physically disabled as part of transitional arrangements.

We will schedule a meeting with colleagues in Education and CRTs to develop a pathway for young people who are physically disabled and those with sensory impairment.

We will develop a multi agency transition strategy and implement in partnership with disabled young people and their families

We have undertaken a review of services for disabled children and young people, including

those aged 16+. We will be arranging a meeting with key stakeholders to develop a strategy to implement the recommendations in the New Year.

We will ensure that disabled children and young people are supported to access work, education, training and leisure opportunities.

We are recruiting to the Cynnydd project and continue to develop the Opportunities team.

Commissioning

To develop an overarching strategic commissioning approach with particular reference to the review and/or development of a Short Breaks Policy, Dementia Strategy with health/”More than just memory loss”, Learning Disability Commissioning Strategy, Population Needs Assessment

Progress made on the core themes as required by the Population Needs assessment work. This will influence development of a commissioning plan for learning disabilities service and separately for physically disabled & sensory impairment services.

- Meeting to review of respite policy proposed
- Outline of overarching commissioning principles developed.

To continue to deliver savings through right size packaging and analysis of cost providers in the pan disability service areas as part of the Authority’s budget management. (Risk)

- **To implement a supported living flat rate for the supported living sector**
- **To review high cost residential placements in a co-ordinated and effective manner**
- **To develop a system of accountability whereby care providers account for the delivery of care hours**
- **To undertake a series of high level contract negotiations to obtain financial savings**
- **To complete the accommodation care and support needs project to assist future strategic planning**

Overall, team accountable to the monthly Accommodation and Efficiency Board chaired by HoS. Team heavily involved in budgetary proposals for the LD/MH service.

Preparatory work undertaken and proposal being worked up on a supported living rate

Reviews being undertaken. Recruitment of two further posts (3/10/16 & in November) will assist productivity

Limited system in place owing to other priorities.

Negotiations commenced with variety of providers that have produced savings. Further work on going.

A comprehensive needs database was finalised during the two week lockdown period of both Community Teams for Learning Disabilities (CTLDs). A transition database exists.

To help people to recover so they are able to live as independently as possible.

- **To work with the Head of Integrated Services to review the Reablement pathway to maximise use of available resources**

- **To support the development of telecare to support people's independence**

Departmental Management Team (DMT) paper scheduled for 20th July to detail strategic overview and associated evidence base. Seeking endorsement of internal discussions to shape services

Drafted service level agreement and initial discussions held with operational team.

To promote health and wellbeing by building community capacity and developing preventative services (Risk)

- **To further develop a preventative model of service building on regional work with the sector**
- **To review the commissioning of day service provision for older people**
- **To explore regional commissioning opportunities for Supporting People**
- **To further develop well being initiatives and opportunities to work with leisure, libraries and adult education**

Community resilience and preventative strategies developed. Intermediate Care Funding (ICF) to support the preventative model.

DMT discussion regarding commissioning board to strengthen connections to progress some in house reviews.

Regional representatives have met to discuss options. Mapping of all contracts and expiry to identify common opportunities to jointly commission. Links made to Population Needs Assessment.

Consideration to be given to regional commission for domestic abuse.

To review the Divisional structure and support (Risk)

- **To review and consider capacity and resources to deliver the commissioning programme of work**
- **To produce a Contracts Register to enable pro-active management of contract expertise**
- **To consider joint commissioning opportunities**
- **To consolidate the effective governance of the Regional Co-ordinating Committee (RCC)**

Options being considered and financially costed. Draft business case to be developed by end July '16.

Contracts register mapped. Further work undertaken to reconcile contracts with database and ensure compliance with audit and procurement rules.

Considerable sharing of ideas and what works e.g. domiciliary care commissioning, care home QA systems.

Greater clarity of purpose at RCC.

To drive Service Improvement and Quality Assurance

- **To ensure compliance with the safeguarding provisions of the Act 2014 by working effectively with the Safeguarding service**
- **To review Contract Terms and Conditions and application of the Provider Performance Protocol and Escalating Concerns Guidance**
- **To explore regional links to quality assurance and potential to develop a multi-agency team to support service improvement**
- **To engage with care providers in the development and consolidation of an accountable, risk competent and safe culture that safeguards service users**
- **To run strategic fora between commissioner and provider to ensure clear understanding of both parties' respective positions and achieve service improvement**
- **To undertake health and safety audits in the learning disability and mental health care home sector and support introduction of the National Framework Agreement**
- **To develop a programme of “meaningful outcomes” audits for care homes for older people**
- **To develop an expenses policy for service users in receipt of community based services**

Team members attend all Initial Strategy Meetings and Reconvened Strategy Meetings and interface regularly with Safeguarding. Three monitoring officers attended non-criminal investigation training to help develop knowledge and skills in safeguarding and monitoring role. Additionally, Respite Co-ordinator is now made aware of safeguarding reports relevant to the LD respite service. Contract Monitoring Officers form part of the “improvement” team to remedy Safeguarding concerns.

Regional Quality Framework task and finish group set up to scope and plan Document

Links made to share current practice and develop and align further QA

Two workshops held attended by Independent sector 40 professionals. Report to be compiled for DMT with plan to run two further workshops in March 2017.

Workshops run and planned

Letter disseminated to sector and programme developed.
Template designed, programme to commence Nov '16

Service User satisfaction survey questionnaires to be designed

On hold for foreseeable future.

To consolidate further the strategic objectives of the domiciliary care Framework Agreement embedding and developing:

- **Develop a joint training programme for providers and care management around**

meaningful outcomes and service delivery plans

- **Further develop inter agency / collaboration work around issues / challenges faced by the sector. Provider forums, Recruitment working groups etc.**
- **Review, develop and strengthen our commissioning arrangements with the In House service to fall in line with the new model of care and support**

Workshops have been held across the 3 CRT's. Further discussions to be held with Locality Managers re-recording outcomes. Workshops have been held with providers; new template service delivery plan has been developed jointly with providers. Final approval and agreed implementation date to be agreed at next provider workshop 8th November 16

Operational working group has been set up with Framework provider managers looking at local issues and use of geo map. Wider group has been set up in partnership with Un Sir Gar and other agencies – PoKE (Perception of Key Employment Sectors). Care employers focus group event held 28/9/16. Strategic group set up with Senior Managers Framework providers to look at key areas affected.

Work in progress.

To further develop and implement within Supporting People (Risk)

- **A strategic evaluation of current service areas against eligibility and priorities including aligning work as far as practicable with the Tackling Poverty agenda**
- **A comprehensive contract management and contract monitoring programme**
- **An administrative and monitoring process in conjunction with Business Support**
- **The Locality Based Floating Support tender**

The strategic evaluation has been undertaken but now needs to be revisited as team capacity has reduced and expectations needs to be revised.

This is underway but may have to be revised in light of above.

The payment process has now been transferred to Business Support. The processes around this and the financial monitoring to be agreed and written up.

Tender completed and awarded. New services started on 1st October 2016. Implementation underway with further meetings planned with service providers. Most recent meeting held on 4/10/16. Very positive feedback and collaboration among providers.

To review and further develop a carers strategy for Carmarthenshire which will:

- **Ensure continuity of service and incorporate the requirements as detailed in the Regional Carers Measure Strategy**
- **Implement the Carmarthenshire Carers Action Plan and maintain an open reporting framework on progress with the relevant bodies**
- **Increase further status of Carers Partnership Board across the Department**

The regional strategy is in a period of transformation with continued funding from WG. Work is ongoing to retain the initiatives and embed them into mainstream activities by 2018.

Implementing the action plan was put on temporary hold during summer 16 to allow for the new Act and associated revised practice and training needs to be facilitated. Resumption of the

Action Plan from Autumn 2016.

Strategic Partnership Board is now established with new Terms of Reference and revised membership agreed.

To develop a joint strategic approach between commissioning and workforce development

Workforce development work stream has been incorporated into Integrated Community Services Board. Awaiting developments

Participated in Welsh Government (WG) sponsored regional Commissioning Skills pilot. Awaiting outcome.

To ensure commissioning processes and commissioned services comply with the recently introduced Welsh Language Standards, in particular the “Active Offer”

CCC policy lead attended meeting to explain policy and procedure requirements for commissioning e.g. bi-lingual contract documentation for tendering, contracts to be considered for translation, correspondence with provider networks to establish needs.

Business Support

The section has made progress against its expected plan for 2016-17 with the full introduction of an electronic claims systems for Domiciliary Care. This work has drawn attention on a national level alongside the work on the Framework tender for the service. We have met with other authorities and are due to meet with a number of other Local authorities in December. The work has reduced the paper based system, increasing the transparency of payments, reducing the workload and has made an impact on costs.

Older People’s Care management teams have reduced the need for paper based files with the introduction of Information@Work, one team has now completed the archiving of paper based files with the other 2 teams on course for March 2017. We have trialled the use of I pad’s in the teams, some of which was successful and we will look to work with IT at further developing the use of technology to support the service.

Debt Level within the department has been a focus and we have been working with a dedicated solicitor to both secure old debt and prevent new debtors arising. This is a time consuming process as the older cases we are dealing with are very complex. We have had some early success with £130K repaid from older or doubtful debt.

Debt collection processes and deferred payments processes are both being updated under the new Social Services and Wellbeing Act. The process is ongoing for implementation in April 2017. A new process for Service user invoicing for Residential Care has been developed and trialled. There have been some technical issues, which have been resolved in readiness for full rollout in the New Year.

The division has worked with corporate colleagues to ensure that all correspondence is now compliant with the Welsh Language Standards.

The new Blue Badge toolkit has been trialled and tested. We are still working through the recruitment issues for an Occupational Therapist to support the team.

Implementation and production of updating the Charging policy for April 2017 is underway and

will be operational for April 2017.

OPPD/Integrated Services

Overall, the Division continues to effectively manage demand for services within budget, seeking innovative ways of continuing to deliver priority based budgeting (PBB) savings.

The rate of delayed transfers of care (DToC) for social care reasons per 1,000 population aged 75 or over

We have seen a higher number of DToC's for the same period last year and we are currently 4 off target and therefore we need to be mindful of winter pressures.

We have introduced a new process which concentrates on identifying medically fit and functionally stable patients at an earlier stage by working in conjunction with the Discharge Liaison Nurses to ensure accurate information is received on each individual which has shown an improvement and maintained our target of 7 or below per month.

We will develop a robust and efficient information, Advice and Assistance Service (IAA)

The Careline Team have now re-located to Eastgate to enable them to be co-located with the CRT, Health & Housing Staff. Staff continue to make good progress on their National Vocational Qualification (NVQ) level 4 qualifications for IAA to enable us to provide a compliant IAA service. On the 1st November a small multi-disciplinary team of a senior social worker, senior Occupational Therapist, Nurse, Community Resilience Officer, Physio, etc. will begin to work with the Careline staff in a new way to deliver an IAA service for integrated services. This will form the proof of concept for other social services.

We will conduct a population assessment at locality level to inform population needs assessment and service planning in line with the new SSWB Wales Act

Population assessment information has been included in the 3Ts and Llanelli locality plans. The Amman Gwendraeth (AG) plan is waiting for the locality manager to come into post in December. A detailed analysis in line with the County wide population assessment can be completed with the statutory assessment is finalised in January 2017.

We will modernise our workforce to support implementation of the duties under the Social Services Well-being Act and the objectives outlined in 'Carmarthenshire's Vision for Sustainable Services for Older People for the Next Decade' and the Health Board's Integrated Medium Term Plan

Following a review of the effectiveness of our service and its compliance against the Act we are progressing modernisation of our workforce to support delivery of Information, Advice and Assistance and to improve effectiveness and efficiency of our intermediate care service.

We will identify the strengths and resources within communities which can contribute to promoting and supporting the health and wellbeing of their population

A number of events were delivered with asset mapping activities completed. Further IAA work is being conducted to populate DEWIS and once this is done the first stage will be completed.

We will effectively commission short term assessment and interventions to maximise independence and wellbeing outcomes for our population

The Vision for Intermediate Care has been endorsed by DMT. Discussions with Hywel Dda regarding joint funding arrangements of Reablement have taken place. Formal Memo of Understand/SLA being developed.

We will implement actions within the Carmarthenshire Dementia Action Board across health and social areas in Carmarthenshire.

Work in progress and proposals have been submitted for a revised structure of Carmarthenshire Dementia Action board. Funding is being sourced via the Integrated Community Fund as the part of the proposals to support this new structure and action plan.

We will promote the Welsh language and ensure compliance with the 'Active Offer' across all service areas

A Strategic Group has been established to oversee this. Detailed analysis of current position has been undertaken and the results of which will be used to deliver improved compliance in identified areas.

SOCIAL CARE & HEALTH SCRUTINY COMMITTEE**DATE: 12TH DECEMBER, 2016****SUBJECT****Annual Report on Adult Safeguarding (2015-16)****PURPOSE:**

To receive the Annual Report and note its findings.

To consider and comment on the following issues:

The Committee is asked to consider and comment on the Authority's adult safeguarding arrangements.

This Report relates to last financial year and summarises the national policy context of adult safeguarding at that time including the implications of the Social Services and Well Being (Wales) Act 2014 and provides a variety of information including:

- Regional strategic position
- Operational arrangements
- Key achievements and significant events
- Key challenges and issues
- Quality assurance
- Partnership reports
- Performance and Activity Information

At the time of presenting this report, the Social Services and Wellbeing (Wales) Act has commenced and a Regional Safeguarding Adults Board has been established. This Board, chaired by the Strategic Director of People, Powys County Council is the lead body responsible for setting the strategic direction and governance arrangements for adult safeguarding in the County. The Board benefits from good strategic leadership and strong partnership arrangements. The Board has a zero tolerance approach to abuse. Every person has the right to live a life free from abuse and neglect, and it is everyone's business to ensure that we work together as a community to support and safeguard the most vulnerable in society.

From April 2016 as part of regional arrangements a new strategic plan will have to be developed. The challenges faced in the 2015-16 Annual Report were different as the threshold for what constituted a safeguarding issue was higher. However, looking to the future, we believe that in Carmarthenshire our arrangements have provided a solid foundation stone to prepare for new legislation and the future regional focus.

REASONS:

For the Committee's Information

To be referred to the Executive Board / Council for decision: **NO**

EXECUTIVE BOARD MEMBER PORTFOLIO HOLDER:-

Cllr. Jane Tremlett (Social Care & Health Portfolio Holder)

Directorate Community Services Name of Director: J Morgan Report Author: Mark P Evans, Senior Manager , Mental Health and Safeguarding	Designations: Director of Community Services Head of Learning Disabilities, Mental health and Safeguarding: Avril Bracey Senior Manager: Mental Health and Adult Safeguarding	Tel Nos. (01267) 224698 01267-228952 E Mail Addresses: Jmorgan@carmarthenshire.gov.uk Abracey@carmarthenshire.gov.uk MPEvans@carmarthenshire.gov.uk
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EXECUTIVE SUMMARY
SOCIAL CARE & HEALTH SCRUTINY COMMITTEE
DATE: 12TH DECEMBER, 2016

SUBJECT
Annual Report on Adult Safeguarding (2015-16)

The purpose of this report is to provide information on the role and functions and activities undertaken by the Authority in regard to Adult Safeguarding.

The Report explains the changing policy context of adult safeguarding and details the arrangements the Authority established to prioritise adult safeguarding. As the lead organisation responsible for adult safeguarding, the Authority is required to have effective arrangements to ensure vulnerable adults are protected from harm. The Authority undertakes its role in close partnership with others, primarily with Dyfed Powys Police but also with Hywel Dda University Health Board and local Advocacy organisations. The report itemises some of the key performance activity as well as challenges for the future.

With the implementation of the Social Services and Well Being Act 2014 in April of this year, safeguarding adults has for the first time been placed on a statutory footing. The Board will be appraised of how we are responding to the New Act in the Autumn of 2017. With well - established governance and scrutiny arrangements, Carmarthenshire is well placed to implement the duties and principles of the Act.

As can be seen from the attached report, the Authority was heavily engaged in 2015-16 in preparations for a regional structure for Adult safeguarding which went live in April 2016.

DETAILED REPORT ATTACHED ?

YES

IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report :

Signed: **Avril Bracey** Head of Mental Health & Learning Disabilities

Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
NONE	NONE	NONE	NONE	NONE	NONE	NONE

CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: **Avril Bracey** Head of Mental Health & Learning Disabilities

(Please specify the outcomes of consultations undertaken where they arise against the following headings)

1. Local Member(s)

Not appropriate

2. Community / Town Council

Not appropriate

3. Relevant Partners

Not appropriate

4. Staff Side Representatives and other Organisations

Not appropriate

**Section 100D Local Government Act, 1972 – Access to Information
List of Background Papers used in the preparation of this report:**

THERE ARE NONE.

Carmarthenshire Safeguarding Adults Board Annual Report 2015-2016



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Foreword by Jake Morgan, Director of Community Services, Carmarthenshire County Council and Chair of Carmarthenshire Safeguarding Adults Board



Thank you for your interest in safeguarding vulnerable adults at risk in Carmarthenshire. I am very pleased to share Carmarthenshire Safeguarding Adults Board Annual report for 2015-16. This will be the last report of the Carmarthenshire Board, as from April 2016, the Social Services and Wellbeing (Wales) Act 2014 will come into force and strategic oversight of adult safeguarding will in future be developed on a regional footprint, in our case, Mid and West Wales. With the implementation of the new Act, Adult Safeguarding will now be placed on a statutory footing and the new Regional Boards for Adults and Children will be guided and supported by an Independent National Safeguarding Board comprised of experts in the field.

As the report before you outlines, whilst we have in 2015-16 been preparing for a new era of Adult Safeguarding, we have continued within Carmarthenshire to implement our vision of developing a culture that does not tolerate abuse. Partner agencies have consistently demonstrated their commitment to the Board and the work of its various sub groups. Successful events to raise the profile of safeguarding vulnerable groups have been held together with a programme of ongoing training and development for staff from a range of organisations and sectors concerned with supporting vulnerable adults.

I would like to take this opportunity to thank the residents of Carmarthenshire and staff across our organisations for their vigilance and efforts in reporting and preventing abuse.

Jake Morgan
Director of Community Services

Introduction

What do we mean by Safeguarding Vulnerable Adults?

Safeguarding is about protecting a person's right to live their life in safety and free from abuse and neglect.

Definition of a vulnerable Adult

In Wales, vulnerable adults have been described as:-

“ a person over 18 years of age who is or may be in need of community care services by reason of mental or other disability, age or illness and who is or may be unable to take care of himself or herself, or unable to protect himself or herself against significant harm or serious exploitation.” (In Safe Hands, 2000)

The above definition is set to change in 2016 with the introduction of the Social Services and Wellbeing (Wales) Act 2014, and those changes will be discussed in full detail in next year's Annual Report

This definition may include persons who have:-

- Learning Disabilities
- Mental health problems including Dementia
- support or care needs and are older persons
- physical frailty or have a chronic illness
- physical or sensory disabilities
- substance misuse problems
- autistic spectrum disorders.

What is meant by Abuse?

In Wales, abuse is considered to be a violation of an individual's human and civil rights by another person or persons which results in significant harm

Types of Abuse

In Safe Hands, identifies **five** main categories of Abuse. Those are:-

- Physical
- Sexual

- Financial
- Emotional or Psychological
- Neglect

What is meant by significant Harm?

Significant harm refers to ill treatment, impairment of or an avoidable deterioration in physical or mental health and/or impairment of physical, emotional, social or behavioural development.

How can abuse or neglect be reported?

Within Carmarthenshire we have a dedicated Safeguarding Team based in Carmarthen. If someone has concerns that they or another person is at risk of harm from neglect or abuse, referrals can be made to the Team through our Careline Service on 0300 333 2222. If however it is thought that a person may be at immediate risk or in danger, or if a crime may have been committed then the best thing to do is contact emergency services via 999.

What is the role of Carmarthenshire Safeguarding Adults Board?

The multi- agency Carmarthenshire Adult Safeguarding Board is accountable for safeguarding and promoting the safety and well- being of adults at risk. Carmarthenshire Adult Safeguarding Board believes that for adults at risk or in vulnerable situations, the agencies which support them and the wider community together can:

- Develop a culture that does not tolerate abuse
- Raise awareness about abuse
- Prevent abuse from happening wherever possible
- Where abuse does happen, support and safeguard the rights of people who are harmed to:
 - < Stop abuse continuing
 - < Access services they need, including advocacy and post-abuse support
 - < Have improved access to justice

National Context

Key Developments in 2015-16

Legislative Change

During 2015/16 the Social Services and Wellbeing (Wales) Act 2014 moved into implementation phase having completed its Journey through the National Assembly and received the Royal seal of approval. This phase saw local areas across Wales involved in a series of consultations on the various Codes of Practice, statutory guidance and regulations that underpin the new Act.

From a Safeguarding perspective this included specific guidance and regulations in respect of safeguarding functions and responsibilities.

Ministerial Regulations

In June 2015, General regulations about Safeguarding Boards in Wales were laid before the National Assembly. With effect from 6th April 2016, Safeguarding Board “areas” were confirmed in regulations, with the lead partner for Adult Safeguarding in Mid & West Wales to be Carmarthenshire County Council. Through these particular regulations, the new Boards, are required to develop Annual Plans and annual reports, the content of which is elaborated in schedules to the regulations.

The June Regulations were supplemented in July 2015 with the Safeguarding Boards functions and procedures incorporating amongst other things guidance on Practice Reviews in respect of children and Adults.

November 2015 saw regulations on the new National Independent Safeguarding Board laid before the Assembly, outlining how that Body would be constituted, its proceedings, including if desired supplementary groups. The Regulations also required of the Board arrangements to meet with regional safeguarding Boards and guidelines on timeframes for and content of an Annual Report from the Board. Members of the new Board were recruited in the Autumn of 2015 and in February 2016, Welsh Government announced that Dr Margaret Flynn had been appointed as Independent Chair of the new Board.

Statutory Guidance

During late March/early April 2016, Welsh Government published its introduction and Overview on Working together to Safeguard People Volume 1 (Guidance on Chapter 7 of the Social Services and Wellbeing (Wales) Act 2014).

Training & Development

In early 2016, the Care Council for Wales produced its Training materials in relation to Safeguarding and the Act more widely and a “Train the Trainer” approach was adopted across Wales.

Safeguarding particular groups

In December 2015, a series of nationally driven Workshops were convened in local areas, to consider the findings of the Flynn Report “In Search of Accountability.” Following a Ministerial commissioned review into Operation Jasmine (an investigation into alleged abuse in some care homes in South East Wales) the report of the Review was published in Summer 2015. Local areas were tasked with producing a position statement and subsequent action plan in response to the Flynn review and this was taken forward initially by the Regional Safeguarding Adults Board in its shadow form during January 2016.

2016 and beyond

The Mid & West Wales Board having been constituted in Winter 2015/16, had its inaugural meeting in April 2016 with the Director of Adult services from Pembrokeshire County Council in the Chair.

Adult safeguarding in Carmarthenshire- Strategic and Operational Arrangements

Strategic Oversight

Adult Safeguarding in Carmarthenshire has been overseen for a number of years by a Multi-agency Safeguarding Board. Chaired by the Director of Community Services, Carmarthenshire County Council, the Board meets on a quarterly basis and comprises Senior Leaders from Partner organisations, including Dyfed Powys Police and Hywel Dda University Health Board, as well as Officers from Carmarthenshire County Council.

The Board is supported by an Operational Sub Group again made up of key personnel from the above agencies including Third Sector partners. The sub group meets on a quarterly basis immediately after the Board Meeting.

A Serious Case Review sub Group convenes as and when required and in 2015-16, a Practitioners Learning Event was held at Dyfed Powys Police Headquarters to learn lessons following the tragic death of a young person who had been known to a number of local services.

Our Vision

The Board's vision has been outlined in its strategic Business Plan 2013-16. That Plan set out 7 key Objectives for Safeguarding Adults in Carmarthenshire. Those are:-

- Effective Strategic Leadership, Accountability and Governance
- Making Adult Safeguarding everyone's business
- Develop and Implement joint policies, procedures and processes for safeguarding
- Engagement with service users, carers and partner organisations
- Develop best practice, experience, skills in safeguarding and adult protection for those who work with adults at risk
- Ensure that learning is undertaken through Serious Case Reviews, Management Reviews or appropriate learning experiences
- To develop a regional collaborative approach

Later in this report the reader will see some of the ways in which we have been making progress against these Objectives locally.

Operational Arrangements

During 2015-16 Local Organisations have continued to work to the framework and guidance provided by the All Wales Interim Policy and Procedures for the Protection of Vulnerable Adults from Abuse. Those procedures describe a 10 stage process for

Adult Protection Carmarthenshire, which can be found in Appendix A and chart the pathway that would be followed when identifying, responding to and ultimately with the person's consent removing or reducing the risks which they face.

Carmarthenshire County Council continue to operate with a central safeguarding Team based in 5 Spilman Street Carmarthen. The Team, comprised of Safeguarding and Complaints manager, 4.5 Whole Time Equivalent Safeguarding co-ordinators, 2 safeguarding co-ordinators and a business support Officer receive all referrals where abuse of a vulnerable Adult is suspected within Carmarthenshire. In particular, the Team work extremely closely with Dyfed Powys Police, Hywel Dda University Health Board, The Mental Capacity Act & Deprivation of Liberty Safeguards Manager, the Commissioning and Contracting Team within the Council as well as The Care and Social Services Inspectorate for Wales and other partner agencies.

The Safeguarding Co-ordinators in the Central team act as designated Lead Managers, responsible for the management of adult protection cases. They chair all strategy meetings and increasingly act as Investigation Officers as well. This area of work (the Investigation) is time consuming and a significant demand on a small resource of 4.5 staff. It has grown in recent years as Care Management Teams (social work teams) have found it increasingly difficult to release trained investigating Officers from their establishments due to other competing priorities.

The Team maintain case management records (contemporaneous notes) on the progress of individual cases although currently these are recorded electronically outside of the care management database. The Team meet every Wednesday to discuss referrals, meetings that need to be convened and the progress on individual cases. There are also regular meetings with Dyfed Powys Police to discuss cases in common and progress in criminal investigations and for a time in 2015-16, a Nurse from Hywel Dda Health Board was seconded to work in the Team. This proved extremely beneficial with expertise in relation to nursing practice in Hospitals and Care Homes being brought to the Team.

During 2015-16, with the introduction of the Departmental performance management framework, the Safeguarding Team have been involved with colleagues from our Performance Section in developing a suite of measures to assess how well we are performing. Data on performance activity is included later in this report. The case studies below provide a quick snapshot of the type of referral that the central team became involved with in 2015-16.

Case Studies

Mr X.

Mr X is a retired gentleman who lives on a farm in rural Carmarthenshire and has done so for many years. He has no relatives living near to him and his neighbours have been acting as informal carers for him in recent times and also hold power of attorney for financial affairs. A safeguarding referral is received from the neighbours expressing concern about the sudden appearance of relatives in Mr X's life and intentions they may have with regards to his future welfare. A subsequent counter allegation is lodged by the relatives, some months later, alleging financial abuse.

Outcome: Investigation concludes that there was insufficient evidence to uphold an allegation of financial abuse. Follow-up actions include:- notification to the Office of the Public Guardian on the outcome of the safeguarding process, referral for capacity assessment and diagnosis. Commencement of a Best Interests process involving Mr X, family members, his informal carers and professionals involved in Mr X's care.

Mrs Y

Mrs Y is a retired lady who lives in a care home. During the course of a separate investigation, Officers were informed by a member of staff that they had witnessed another member of staff physically abusing Mrs Y. It transpired that the two workers had worked on only two shifts during the relevant month and there were no entries in the case records or elsewhere to indicate any matters of concern.

Outcome : Police Led Investigation as potentially a criminal offence had been committed which subsequent to further enquiries culminated in no further action due to lack of evidence.

Cross Cutting Themes

Deprivation of Liberty Safeguards

The Deprivation of Liberty Safeguards came into force in Wales and England in April 2009. These safeguarding procedures aim to protect individuals who lack the mental capacity to consent to care or treatment in care home and hospital settings and may be deprived of their liberty. In March 2014, a landmark Judgment was handed down by the UK Supreme Court as to what constitutes a deprivation of liberty and since that time, referrals under these procedures have increased sixteen-fold across Wales. During 2015-16, Carmarthenshire County Council received a total of 629 requests to deprive persons of their liberty who were residing in care homes or nursing homes. 176 of those referrals were allocated for assessment and 164 of those assessments were completed during 2015-16. Due to the sheer volume of

referrals received it was not possible within available resources to assess 629 requests. The Authority commissioned independent assessors to help with volume of activity and funded additional assessor capacity on a temporary basis.

As part of the Council's own risk management arrangements, the Safeguarding Adults and Deprivation of Liberty safeguards Team are co-located and are operationally managed within one Division of the Council Adult Services.

Contracting and Commissioning arrangements with Care Home Providers

During 2015/16 Carmarthenshire County Council commissioned with 77 Independent Sector Providers of 24 hour Residential Care across a range of provision areas. These areas cover Residential/EMI/Nursing/Learning Disability and Mental Health. During this period, under the Welsh Government Guidance on Escalating Concerns with, and Closures of, Care Homes Providing Services for Adults, a number of settings were placed under these measures for a variety of reasons.

EMBARGO	2
ESCALATING CONCERNS	7
TERMINATED CONTRACT	1

These figures have reduced significantly since the introduction of a robust Provider Performance Monitoring Group set up under the above guidance including bi-monthly meetings and regular sharing of information. This process has forged a range of professional relationships across internal and external teams and organisations ensuring information getting to the right professionals allowing early intervention and support for providers thus minimising risk and improving care delivery to our residents in care homes.

Key Achievements, forthcoming Challenges and Priorities

Achievements 2015/16

Effective Strategic Leadership, Accountability and Governance

- Whilst 2015-16 has in many respects been a year of Transition with Organisations preparing for the new arrangements that are to be ushered in with the implementation of the Social Services and Wellbeing (Wales) Act 2014, the Carmarthenshire Adult Safeguarding Board, continued to comply with its Quarterly Meeting focus.
- Representation at the Board continued to be secured at an appropriate senior level in each of the Partner agencies, and there were no occasions where the group was not sufficiently quorate.
- At sub Group level, whilst the Operational Group was similarly able to convene, other forums such as the practitioners forum were less successful partly as a result of care management teams faced with competing priorities.
- In March 2015/16 a new Head of Service (Avril Bracey) was appointed in Carmarthenshire County Council who quickly moved to establish a Senior Management role within the Council with specific responsibility for Safeguarding and Dols, evidencing the importance and profile of Adult Safeguarding.

Making Safeguarding Everyone's Business

- The Board Continued to have oversight of an extensive range of Learning and development opportunities both within and between organisations. This included dedicated "Train the trainer" capacity from within the Council to help with the preparations for the implementation of the Social Services & Wellbeing Wales Act including the Safeguarding specific elements.
- In December 2015 a highly successful workshop attended by over 100 delegates from across West Wales was hosted in Carmarthen to receive the "In Search Of Accountability" report a review into the Operation Jasmine investigation into alleged abuse in some care homes in South East Wales.

- In October, Carmarthenshire hosted a Law Commission Consultation into their proposals for reform in relation to Mental Capacity and the Deprivation of Liberty Safeguards.

Develop and Implement joint policies, procedures and processes for safeguarding

- As outlined in the section on operational arrangements, we have effective liaison arrangements in place with Dyfed Powys Police.
- There are well established processes for monitoring provider performance both in terms of the care home sector and domiciliary provision.
- Regular cross referencing and discussion takes place between the Safeguarding Team and the MCA & Dols Manager.
- Towards the end of 2015/6 a safeguarding co-ordinator from within the Team led on the development of a “threshold” document between partner agencies across the region including 4 Local Authorities, One Police Force and 2 Health Board areas.

Engagement with service users, carers and partner organisations

- As Indicated above, in terms of meeting structures, organisations advocating on behalf of service users and carers are represented at Board and Operational level.
- We have continued to offer training opportunities to partner organisations under the Social Care Workforce Development Plan as well as planning and delivering Joint Training on Adult Protection Support Orders in conjunction with Dyfed Powys Police. Carmarthenshire People First are a Member led organisation who have helped us deliver training on keeping safe and internet safety.

Develop best practice, experience, skills in safeguarding and adult protection for those who work with adults at risk

- Carmarthenshire has maintained its well established track record of ongoing professional development training and awareness raising sessions for those who are new to the adult safeguarding arena.
- Within the central team during 2015/16 Carmarthenshire has increased its social work expertise during the year. We now have co-ordinators within the Team who have practised in Older Persons services, Learning Disabilities,

Childrens and Young Peoples services as well as one member of the Team who previously worked for the Police.

Ensure that learning is undertaken through Serious Case Reviews, Management Reviews or appropriate learning experiences.

- As referenced earlier in this report in March 2016, a Learning event was held at Dyfed Powys Police Headquarters for practitioners to share their involvement and learn from their work with a young person who had tragically died during the Summer of 2015.

To develop a Regional Collaborative approach

- In 2015/16 Carmarthenshire played a key role in preparing for the new regional Safeguarding Adults Board required from April 2016, with our Director chairing the Shadow Board arrangements and overseeing the completion of a Position statement in respect of the response to the Flynn Report, In Search of Accountability.

Forthcoming Challenges and Priorities

New Legislation

As we look to the future and 2016 and beyond it is clear that a major priority for all partners concerned with Adult Safeguarding will be the implementation of the Social Services and Wellbeing Wales Act 2014, specifically Chapter 7 of that legislation. The Act will become operational on 6th April 2016 and for the first time in Wales Safeguarding Adults will be put on a statutory footing.

The specific legislative provisions are set out in Chapter 7 of the Social Services and Wellbeing Act 2014 and include:-

- a requirement on local authorities to investigate where they suspect that an adult with care and support needs is at risk of abuse or neglect (section 126);
- The introduction of adult protection support orders (APSO's) to authorise entry to premises (if necessary by force) for the purpose of enabling an authorised officer to assess whether an adult is at risk of abuse or neglect and if so what if any action should be taken (section 127);
- A requirement on local authorities and their partners to report to the appropriate local authority where they suspect that people may be at risk of abuse or neglect (sections 128 and 130);
- The establishment of a National Independent Safeguarding Board to provide support and advice to ensure the effectiveness of Safeguarding Boards

(Sections 132 and 133). Provision for Safeguarding Boards for Adults and Children and for the combining such Boards (Sections 134 to 141).

Implications of the New Legislation

In terms of duties to investigate above, whereas for 2015-16 the threshold for adult safeguarding referred to vulnerable adults who were at risk of significant harm, the new Act dispenses with the term vulnerable and also removes the requirement for harm to be significant. Our assessment of this is whilst the principle of lowering the threshold for coming under the safeguarding umbrella is a positive measure, undoubtedly the net result will be that referral and investigative activity will increase. This is likely to place further demands on existing resources.

The extent to which the provisions introduced through Adult Protection and Support Orders will be utilised will no doubt form part of next year's annual report, although it is anticipated that invoking those procedures will be rare. This is partly to do with the way in which the legislative provision is drafted but mainly due to it being a provision of last resort in many respects. Separate guidance on APSO's are due to be published later in 2016 by Welsh Government.

The duty to report above is also likely to impact on referral rates as individuals or groups who would not meet the criteria for safeguarding in 2015-16, will do so from 2016 onwards.

Regional arrangements

Strategic oversight of Safeguarding Adults will now move to a regional as opposed to local footprint. This will undoubtedly lead to changes in Membership of Boards and an inevitable "bedding in" period as the new arrangements take effect. Local structures will need to support and influence regional developments and it will be important to ensure that impetus is not lost during the transition period.

Action Plan in Relation to the In Search of Accountability report

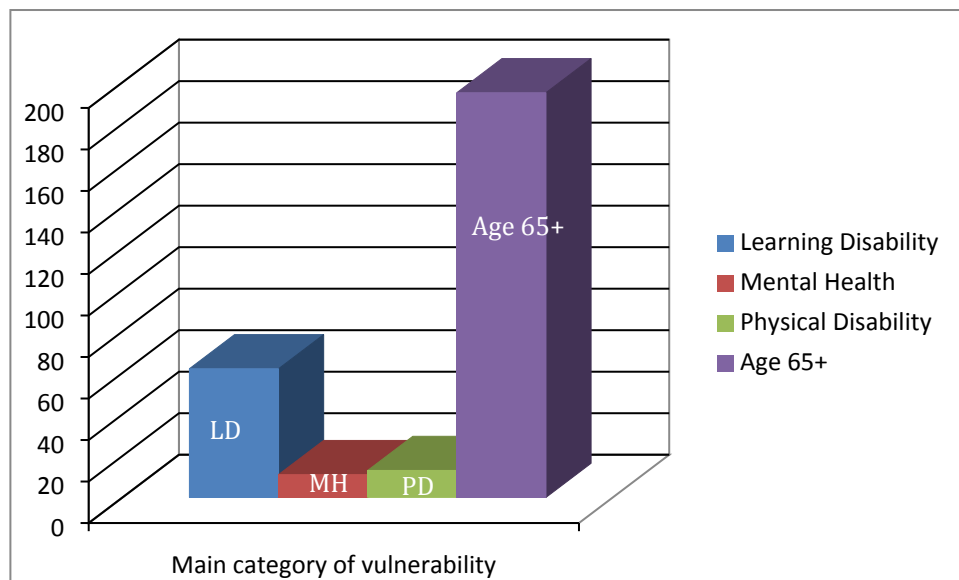
Regional Boards will be required to develop an action plan in response to the above report and on the back of the event held in Carmarthen last December. It is envisaged that Local Operational Groups will be established as part of the Regional Structure for Adult safeguarding and will hold responsibility for progress in relation to this Action Plan.

Safeguarding Activity and Performance 2015/16

The Charts and discussion itemised below, are drawn from the Annual Monitoring Return sent to the All Wales data Unit. It is important that the reader understands that this data refers to all **Completed Adult Protection Referrals** that have a **Case Closure Date** between **1st April 2015/16** and **31st March 2016**, regardless of the date on which the referral began. In other words these cases could have been initially referred in previous reporting years.

Main Category of Vulnerability.

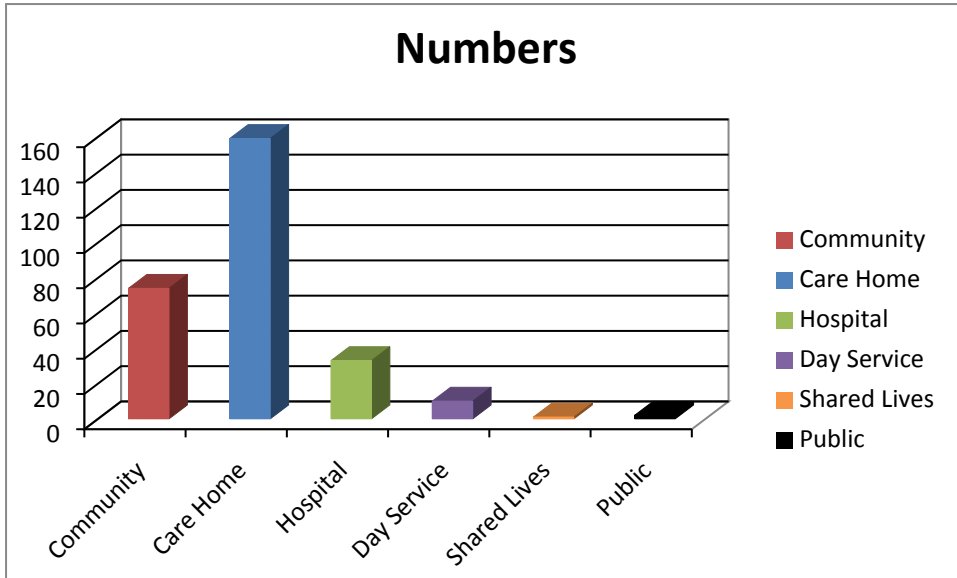
The Chart below shows the main client categories of completed adult protection referrals between 01/04/15 and 31/3/16. People over the age of 65 years are for the purposes of this particular chart, classed as a single category.



As can be seen the vast majority of completed adult protection referrals relate to people over 65 years of age. This is a continuation of the trend from the previous year albeit the numbers have increased significantly from 120 to 195.

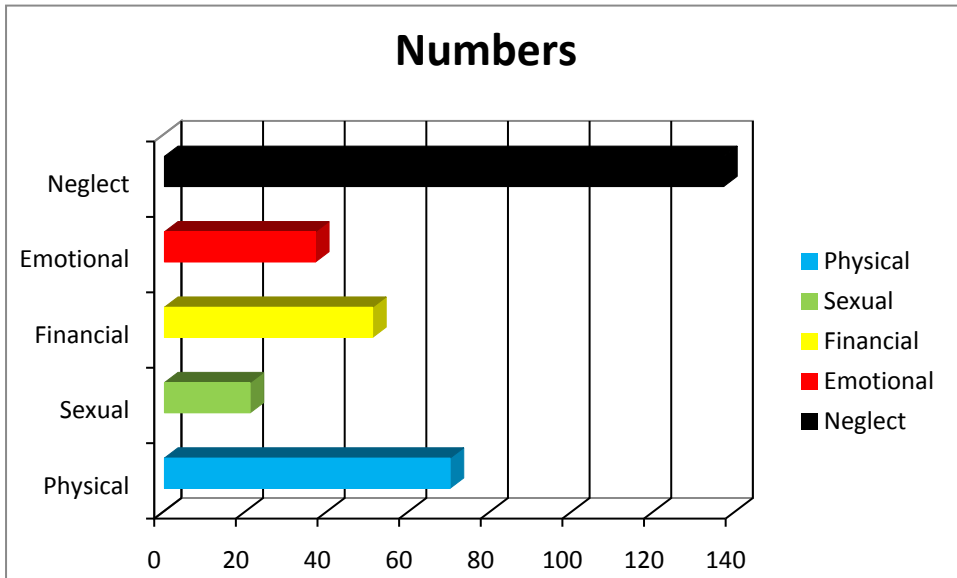
Place where alleged Abuse Occurred

The Chart below shows that during 2015/16 alleged abuse was most often recorded as occurring in care home settings, be they residential or nursing. This is consistent with 2014/15 statistics, with community settings being the next highest category but cited in less than half the amount of cases.



Types of Abuse

In terms of the type of abuse reported, neglect continues to be the main category in cases closed to adult protection in 2015/16. There is nevertheless an increase in the numbers of allegations recorded as neglect when compared with the previous year last year with **137** cases cited as compared with **84**. This is believed to be the result of an increased number of care home referrals and more accurate recording practices. The chart below delineates the numbers recorded in the relevant categories.

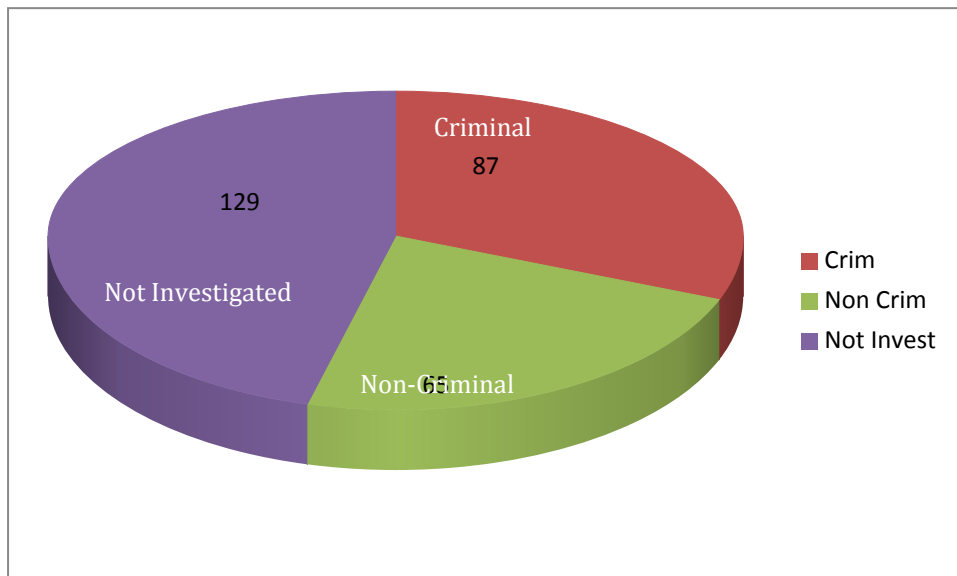


Persons Alleged Responsible

As the number of referrals from Independent Sector Care Homes has increased this year, the main category of persons alleged to be responsible continues to be staff from Independent care Homes.

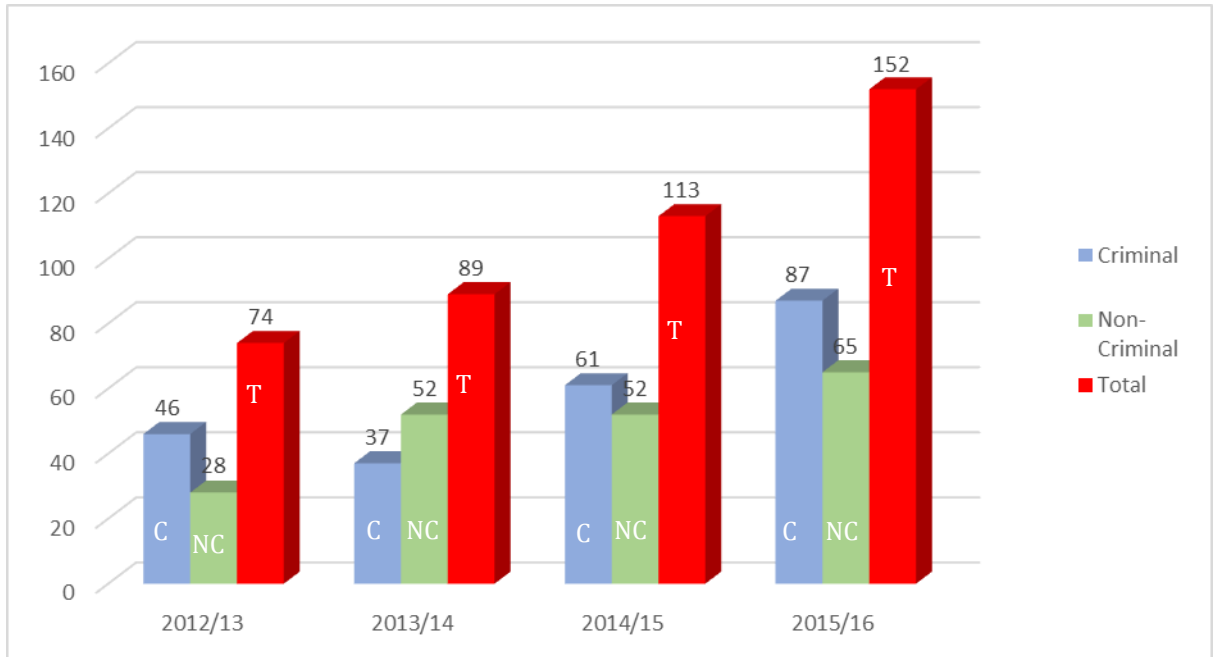
Investigations

As can be seen from the graph below, of the 281 completed referrals in 2015/16, the majority (152) led to an investigation and of these, 87 were criminal investigations led by Dyfed Powys Police.



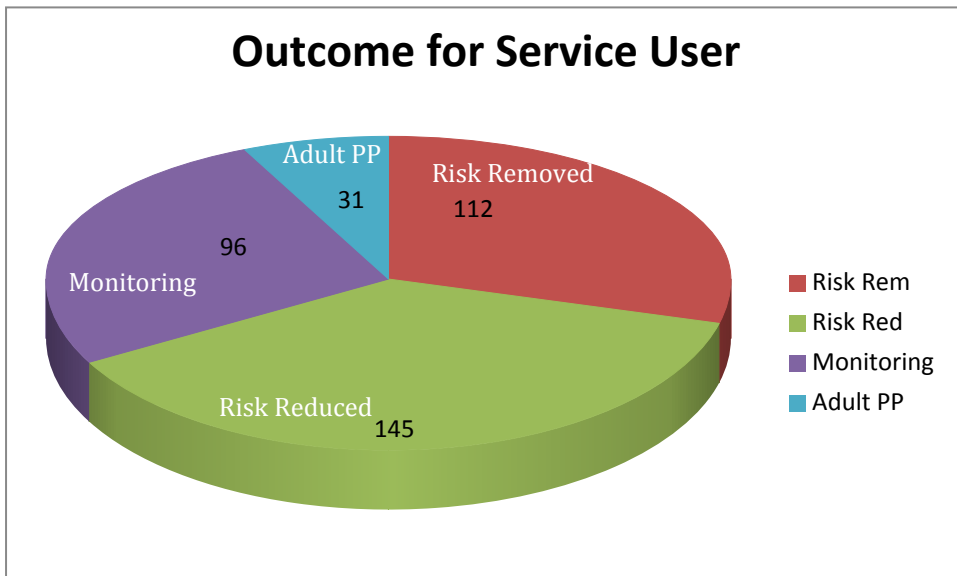
Trends in Investigations Carried out

As can be seen from the comparative data in the chart below, with the exception of one previous reporting year, more cases are referred for investigation where there is an allegation of a criminal act than there are for non-criminal investigations. As referenced in previous annual reports decisions as to whether an investigation is required are normally decided at the initial strategy meeting. Strategy meetings are multi-agency forums which would consider whether the allegation warrants investigation and if not, whether there are other means through which the referral can be addressed. The chart below shows a year on year increase in investigations.



Outcomes

In terms of service user outcomes, the most often cited safeguarding measure is risk reduction, followed by the risk being removed entirely or increased monitoring being put in place. It is important that the reader understands that it is possible to have outcomes recorded in several categories.



Partnership Reports

Dyfed Powys Police

In early 2016 and ahead of the introduction of the Social Services and Wellbeing Act, Carmarthenshire Protecting Vulnerable Persons Unit (PVPU) officers attended joint training sessions with their Local Authority counterparts. The sessions were considered a success and also served to cement the ongoing working relationship between the two statutory partners. In addition to this, joint training has also been held in relation to the introduction of Adult Protection Safeguarding Orders (APSO's).

During 2016, Dyfed-Powys Police introduced the Multi-Agency Referral Form (MARF) in an effort to streamline working practices and create efficiencies in the way information is shared with partner agencies.

On the basis that an 'Adult at Risk' can include victims of domestic abuse, a Domestic Abuse Conference Call (DACC) was piloted in Carmarthenshire during the latter half of 2015 and early 2016. Agencies identified a number of benefits in holding a multi-agency conference call in relation to all reported incidents of domestic abuse on three occasions each week (Mon, Wed and Friday). However resource implications for agencies involved in the DACC pilot have also been considerable. As a consequence an independent review has been undertaken of the pilot - results of which are awaited, before any decision is reached on future steps to be taken.

Also with regard to domestic abuse, a protocol is in the process of being formulated which will see Dyfed-Powys Police share details of medium and high risk domestic incidents with Adult Safeguarding Teams across the Force area.

Finally research academics from Aberystwyth University are delivering the 'Choices/Dewis' Project in Carmarthenshire which is due for roll-out in Carmarthenshire in October 2016. Cardiff has been identified as a secondary pilot area. The team who are working closely with statutory and non-statutory partners are examining the issue of elder abuse in the 'over sixty' population group and exploring the scope of intervention measures available.

Hywel Dda University Health Board

Hywel Dda University Health Board continues to demonstrate their commitment to the adult safeguarding. The Health Board Strategic Safeguarding Sub Committee continues to meet quarterly and provides oversight and assurance to the Health Board on safeguarding matters and ensures that systems and processes are in place to safeguard the people in our care. Appropriate personnel represent the Health

Board at each of the three county Adult Protection Committees (APC) or Adult Safeguarding Board (ASB) that operate within the Health Board boundaries.

The Health Board continues to monitor compliance with adult safeguarding policy and its adult safeguarding systems and governance. We have been making staff aware of the new duties in readiness for the implementation of the Social Services and Wellbeing (Wales) Act 2014 in April 2016. Our key achievements are noted as follows.

- The Health Board has supported additional resource to provide capacity to prepare for the implementation of the Social Services and Wellbeing (Wales) Act 2014.
- The Adult Safeguarding Dashboard reports performance quarterly to the Strategic Safeguarding Committee on training uptake and other performance indicators, such as screening of incidents and concerns, numbers of adult safeguarding referrals as result of those concerns and professional abuse allegations.
- The interface for reporting between County Adult Protection Committees / Adult Safeguarding Boards is evident with regular reporting to the Strategic Safeguarding Sub Committee.
- Continued provision of expert advice and support to all Health Board staff
- Increase in Level 2 training capacity resulting in improved availability of generic and bespoke sessions across the Health Board.
- Continued development of adult safeguarding intranet pages to support and empower staff in their responsibilities to protect and safeguard adults.
- The Health Board has actively contributed to developments across the Dyfed Powys region and Wales. For example, supporting the development of Terms of Reference and a quality outcomes framework for the Regional Adult Safeguarding Board and the development of the Level 2 e-learning programme for NHS Wales.
- We organised a public facing campaign across all acute sites on World Elder Abuse Day 2015 to raise awareness of domestic abuse and older people.

The Health Board looks forward to continuing to work with our partners through the Regional Adult Safeguarding Board and strengthening our arrangements to safeguard people.

Carmarthenshire People First

Carmarthenshire People First (CPF) is an advocacy and training organisation that is for and run by individuals with a learning disability. We provide services across the county of Carmarthenshire, Ceredigion and Pembrokeshire. Registered with the Charities Commission and Companies House, the business is seen as a not for profit Social Enterprise.

CPF is a Member led and Member focussed organisation, where all the members are individuals with a learning disability, a learning difficulty, some form of Autism or are seen to be “at risk”. Other people involved with the organisation are Associate Members.

CPF is funded by a number of income streams: contracts with the local authorities of Carmarthenshire and Ceredigion; grant money from Project Funders; income generated from the sale of training across the three Counties and fundraising monies.

CPF has achieved the Bronze Award for Investors in People demonstrating that the staff team are fully supported within the organisation.

CPF has a ground breaking and innovative Training Team where all the Trainers are CPF Members. Our ethos is that our Trainers are “experts by experience”. We work in partnership with other organisations such as MacMillan Cancer and have co-produced a training pack called Check 4 Change. We have also been funded by the Dyfed Powys Police Service to design a course that teaches safety on Social Media sites. This has been delivered to people who use services and professionals who offer support. The work that the CPF Training Team undertakes is supported by Carmarthenshire and Ceredigion Training Departments and we are now on their Specialist Provider lists allowing the Authorities to purchase our training.

CPF is an award winning organisation that believes in Co-production. We work alongside the three local authorities, the Police Service, the Health Board, care providers, other advocacy agencies and the Safeguarding Teams. In October of 2015 CPF facilitated the engagement events for Carmarthenshire and Ceredigion Equality Strategies. The findings and recommendations from these events have been used to structure the new Equalities Strategies for the two Councils and the participating Stakeholders such as Dyfed Powys Police Service. Also it has been used to underpin the Development/Business Plan of Equality Carmarthen, an equality agency in Carmarthenshire.

Eiriol (Mental Health Advocacy)

Eiriol provides advocacy services throughout Carmarthenshire to people with mental health issues and their carers. All our advocates who work one to one with clients, have enhanced DBS checks, which are updated every 3 years, and complete National Advocacy Qualifications, which specifically deal with confidentiality issues with particular regard to safeguarding. All staff have received safeguarding training, which is regularly refreshed. Our last safeguarding training session covering both adults and children, took place on 29/9/15. Advocates receive supervision every 4-6 weeks, and safeguarding is on the supervision agenda. This ensures that staff have the opportunity to discuss any concerns with their manager that may potentially be a safeguarding issue. As an organisation we also share the learning between advocates around the circumstances of when a safeguarding issue has arisen, and the process that has occurred to deal with it. This ensures that advocates are always alive to the possibility of when a safeguarding issue may arise, and how to deal with it.

During the year 2015-2016, our organisation made three referrals to the adult safeguarding team. One resulted in no further action. One resulted in disciplinary action for the individual from the organisation involved. The third one resulted in an individual being moved into residential care.

A key principle of the Advocacy charter is having confidentiality between the client and the advocate. The training, supervision and discussion within the organisation has ensured that staff can identify when a potential safeguarding issue has arisen and deal with it in a professional manner, following office policy.

The training and supervision of our front-line staff enables our staff to be vigilant around possible safeguarding referrals that need to be made. The first referral made in the reporting period involved a client who had not specifically complained of abuse, but the description of the treatment by a friend was recognised by the advocate as potentially emotional/psychological/financial abuse. The advocate was able to discuss with the client their concerns, and explain what they would have to do, whilst ensuring that the client continued to feel supported. The outcome of this particular issue was that the referral was not taken any further as the friendship ended. Therefore the threat was removed.

The second issue arose as a client had safeguarding concerns regarding their child and school and did not know what to do. Our advocate was able to make a referral and follow up on progress. The outcome was that the matter fell under disciplinary procedures, but ensured that the concerns our client had were voiced and that the procedure for dealing with the issue was followed correctly. The client having an

advocate by their side to speak to agencies involved was a great support, at a time that was greatly distressing for them.

The third referral made involved a disclosure by a client to their advocate of assault on someone they were caring for. The advocate could very sensitively deal with the matter, using their advocacy and safeguarding training. It transpired that the Carer was under a great deal of pressure but was not receiving support to enable them to carry out their caring role, which resulted in a loss of control. The advocate was able to explain that they had a duty to get safeguarding involved, but would continue to support the client through this to ensure they got the support they needed. The client outlined that they felt a sense of relief at having disclosed that they were struggling to cope. The outcome was that the individual was moved to residential accommodation.

These cases demonstrate that advocates can support clients through the process, whether they are the victim or perpetrator. This can be vitally important in ensuring the individual understands what is happening and be involved and have their voice heard throughout the process.

Summary

2015/16 has in many ways been a transitional year for Adult Safeguarding in Carmarthenshire, with Members of the Board gearing themselves up for the new arrangements and duties that will be bestowed upon them by virtue of the implementation of the Social Services & Wellbeing (Wales) Act in April 2016. Despite the transitional feel, the Board has continued to be well attended by all Partner agencies ensuring that residents of Carmarthenshire at risk of significant harm are prioritised.

As can be seen in pages 12-15 of the report, during the year, progress has continued to be made in relation to the strategic objectives laid out in the Board's Business Plan for Safeguarding Adults. The trends in allegations of abuse continue to remain consistent with neglect the most cited concern.

From April 2016 new Regional arrangements for Adult Safeguarding will apply and a new strategic plan will have to be developed. There will be new challenges as the threshold for what constitutes a safeguarding issue will be lowered, but we believe that in Carmarthenshire our arrangements have provided a solid foundation stone to prepare for new legislation and the future regional focus.

Glossary of Terms

Adult Protection Support Orders	An Order granted by a Magistrate to enable access to be gained by an authorised officer of the local authority to enter an address to speak in private with a person suspected of being an adult at risk to ascertain if they are making decisions freely and if an adult at risk what if any action should be taken.
Autistic spectrum disorders	A condition that affects social interaction, communication, interests and behaviour
Best Interests	Decisions taken on behalf of people who lack mental capacity to make those decisions themselves, must be taken in their Best Interests, taking account of statutory criteria and relevant information to the decision that needs to be made.
Capacity Assessment	An assessment to ascertain whether the person lacks mental capacity to make a decision at the time it needs to be made. The Mental Capacity Act 2005 provides for a two stage test of mental capacity.
Chronic Illness	An illness or disorder that persists over a long period of time and affects functioning.
Co-production	Services users, carers and professionals working together to help someone achieve their personal outcomes for example
Designated Lead Manager	The Officer Responsible for the overall management of an Adult Safeguarding case.
Embargo	A decision by a local health board or local authority not to place any new service users in a particular establishment for a specified reason
Escalating Concerns	Arise where there are accumulating issues relating to the operation of or quality of care in a registered care home for adults
Learning Disability	An impairment that starts before adulthood with a lasting effect on development resulting in significantly reduced ability to understand new or complex information or learn new skills.
Mental Health Problems	Mental health problems range from everyday worries we all experience to serious long term conditions such as schizophrenia, depression etc..
Ministerial Regulations	Powers granted to Ministers by an Act of Parliament for example that allow Ministers to make more detailed orders or rules.
Neglect	Could include ignoring medical or physical care needs, preventing access to health, social care or educational services, not caring for someone properly, not providing adequate food, putting them at risk.
Physical Frailty	A health condition associated with ageing in which multiple body systems gradually use their built in reserves.
Provider Performance Monitoring Group	A forum for sharing information from a range of linked sources eg nursing social work, complaints, contract monitoring to maximise quality and safety
Sensory Disabilities	When one of your senses (sight, smell, touch, taste and spatial awareness is no longer normal)
Statutory Guidance	Guidance just short of a legal obligation which local authorities should follow unless they have good reason not to.
Strategy Meetings	A multi-agency forum to consider issues relating to an adult safeguarding referral and in particular the level of risk, to share information and decide on necessary action
Substance Misuse problems	When someone develops difficulties and or dependence on their use of legal or illegal substances including alcohol and drugs.

The Ten Stages and Timescales in the Adult Protection Process

